PERSONAL FI	NANCIAL STATEMENT		FORM PFS
			COVER SHEET
	dance with chapter 572 of the Government Code.	PAGE# Pag	ge 1 of 65
,	D13, covering calendar year ending December 31, <b>2012</b> .  - INSTRUCTION GUIDE when completing this form.	ACCOUNT # 000	20990
1 NAME	TITLE, FIRST, MI	OFFICE	USE ONLY
	Sen. Royce	Date Received _ C	
	NICKNAME, LAST, SUFFIX	•	1 2013
	West	JOF A	1 ZUI3
2 ADDRESS		Texas Ethics	Commission
2 ADDRESS	320 South R. L. Thornton Freeway	Receipt #	
	Suite 300 Dallas, TX 75203-1804	HD (PM) 6/2X	Amount
	January 1777 10255 1001	Legal	
	(CHECK IF FILER'S HOME ADDRESS)	PROCESSED	JUL 0 1 2013
3 TELEPHONE	AREA CODE NUMBER; EXTENSION	Date Imaged	
NUMBER			
4 REASON		, <del> </del>	
FOR FILING STATEMENT	☐ CANDIDATE		_ (INDICATE OFFICE)
	☑ ELECTED OFFICER Texas Senator, District 23		_ (INDICATE OFFICE)
	☐ APP0INTED OFFICER	***************************************	_ (INDICATE AGENCY)
	☐ EXECUTIVE HEAD		_ (INDICATE AGENCY)
	☐ FORMER OR RETIRED JUDGE SITTING BY ASSIGNMENT		
	☐ STATE PARTY CHAIR		_ (INDICATE PARTY)
	☐ OTHER		(INDICATE POSITION)
	financial activity you are reporting (filer must report information abou	ut the financial acti	vity of the filer's
spouse or dependent ch	ildren if the filer had actual control over that activity):		
SPOUSE			***************************************
DEPENDENT CHILD 1.			
2			
3.		THE PROPERTY OF THE PROPERTY O	
In parts 1 through 18, you w	vill disclose your financial activity during the calendar year. In parts	1 through 14, you a	are
over that person's financial	/ your own financial activity, but also that of your spouse or a dependactivity.	uent child if you ha	iu actual control

SOURCES OF OCC	<b>UPATIONAL II</b>	NCOME	PART 1A
☐ NOT APPLICABLE			
When reporting information abou providing the number under whic	it a dependent child's ac th the child is listed on th	ctivity, indicate the child ne Cover Sheet.	about whom you are reporting by
1 INFORMATION RELATES TO	☑ FILER	☐ SPOUSE	DEPENDENT CHILD
<sup>2</sup> EMPLOYMENT	N		MPLOYER / POSITION HELD
	State of Texas	☐ (Check if Filer	's Home Address)
	1400 N. Congress A Room 1E.3 Austin, TX 78701-1		
	State Senator		
SELF-EMPLOYED		NATURE OF	OCCUPATION
INFORMATION RELATES TO	⊠ FILER	☐ SPOUSE	DEPENDENT CHILD
EMPLOYMENT	N		MPLOYER / POSITION HELD
☐ EMPLOYED BY ANOTHER	West & Associates I	(Check if Filer	's Home Address)
	320 South R. L. Tho Suite 300 Dallas, TX 75203-1	rnton Freeway	
	Legal		
		NATURE OF	OCCUPATION
INFORMATION RELATES TO			
WI CHWATTON TELEVIZED TO	☑ FILER	☐ SPOUSE	☐ DEPENDENT CHILD
EMPLOYMENT	N.	AME AND ADDRESS OF E	MPLOYER / POSITION HELD
EMPLOYED BY ANOTHER	D	(Check if Filer	's Home Address)
	Royce West & Asso 320 South R. L. Tho Suite 300 Dallas, TX 75203-1	ornton Freeway	
X SELF-EMPLOYED		NATURE OF	OCCUPATION
CC	PY AND ATTACH AD	DITIONAL PAGES A	S NECESSARY

STOCK					PART 2
☐ NOT APPLICAB	LE				
and indicate the categ	gory of the number nt of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	′	AT&T INC	NA	ME	
2 STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAF	RES	LESS THAN 100 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	′	BARNES & NOBLE IN		ME	
STOCK HELD OR A	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF	RES	LESS THAN 100 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li>D 10,000 OR MORE</li></ul>	☐ 500 TO 999	1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	(	BEAM INC COM	NA	ME	
BUSINESS ENTITY STOCK HELD OR A		BEAM INC COM	NA SPOUSE	ME DEPENDENT CHIL	D
	ACQUIRED BY			DEPENDENT CHIL 500 TO 999	D 1,000 TO 4,999
STOCK HELD OR /	ACQUIRED BY	☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL 500 TO 999	<del>-</del>
STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF IF SOLD	ACQUIRED BY RES NET GAIN NET LOSS	<ul><li>☒ FILER</li><li>☒ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☐ LESS THAN \$5,000</li></ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR AN NUMBER OF SHAF	ACQUIRED BY RES NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ BIOGEN IDEC INC	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN \$5,000  BIOGEN IDEC INC  FILER  LESS THAN \$5000	□ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  NA □ SPOUSE □ 100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  D ☐ 1,000 TO 4,999
STOCK HELD OR AN NUMBER OF SHAFE IF SOLD  BUSINESS ENTITY  STOCK HELD OR AN NUMBER OF SHAFE	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  BIOGEN IDEC INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  D ☐ 1,000 TO 4,999
STOCK HELD OR ANUMBER OF SHAFE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ANUMBER OF SHAFE  IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  BIOGEN IDEC INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR ANUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ANUMBER OF SHAF  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS  ( ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  BIOGEN IDEC INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  BANK OF AMERICA C	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  CORP	☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ☐ \$10,000 - \$24,999  ☐ □ DEPENDENT CHIL ☐ DEPENDENT CHIL ☐ DEPENDENT CHIL	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR AN NUMBER OF SHAFE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR AN NUMBER OF SHAFE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR AN	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS  ( ACQUIRED BY	ILESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  CORP ☐ SPOUSE ☐ 100 TO 499 ☐ 100 TO 499 ☐ 100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ☐ \$10,000 - \$24,999  ☐ □ DEPENDENT CHIL ☐ DEPENDENT CHIL ☐ DEPENDENT CHIL	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999

					PART 2
☐ NOT APPLICAB	LE				
and indicate the cated	gory of the number nt of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
When reporting inforn providing the number	nation about a de under which the	pendent child's activity, child is listed on the Co	indicate the child alver Sheet.	oout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	(	CAPTIAL ONE FINL	NA	ME	
2 STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	☐ DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAF	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	1	CELANESE CORP DE		ME	
STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF	RES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	Y	CHENIERE ENERGY	NA	ME	
STOCK HELD OR	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
STOCK HELD OR A		☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 10,000 OR MORE	☐ 500 TO 999	D 1,000 TO 4,999
		LESS THAN 100	X 100 TO 499	☐ 500 TO 999	
NUMBER OF SHAI	RES    NET GAIN   NET LOSS	LESS THAN 100 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li><li>☐ \$5,000 - \$9,999</li></ul>	☐ 500 TO 999	1,000 TO 4,999
NUMBER OF SHAI	RES    NET GAIN   NET LOSS	LESS THAN 100 5,000 TO 9,999 LESS THAN \$5,000	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li><li>☐ \$5,000 - \$9,999</li></ul>	500 TO 999  \$10,000 - \$24,999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
IF SOLD  BUSINESS ENTITY	RES    NET GAIN   NET LOSS   Y  ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 CHUBB CORP	<ul><li>X 100 TO 499</li><li>X 10,000 OR MORE</li><li>X 5,000 - \$9,999</li><li>NA</li></ul>	\$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
IF SOLD  BUSINESS ENTITY  STOCK HELD OR	RES    NET GAIN   NET LOSS   Y  ACQUIRED BY	LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  CHUBB CORP  FILER  LESS THAN 100	100 TO 499   10,000 OR MORE   \$5,000 - \$9,999   NA   SPOUSE   100 TO 499	\$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
IF SOLD  BUSINESS ENTITY  STOCK HELD OR  NUMBER OF SHAI	RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ CHUBB CORP ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	100 TO 499	\$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
IF SOLD  BUSINESS ENTITY  STOCK HELD OR  NUMBER OF SHAIL  IF SOLD	RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS  Y	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ CHUBB CORP ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	100 TO 499	500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
IF SOLD  BUSINESS ENTITY  STOCK HELD OR  NUMBER OF SHAIL  IF SOLD  BUSINESS ENTITY	RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	LESS THAN 100 5,000 TO 9,999 LESS THAN \$5,000 CHUBB CORP SILER LESS THAN 100 5,000 TO 9,999 LESS THAN \$5,000 CLEAN HARBORS IN	100 TO 499	500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
IF SOLD  BUSINESS ENTITY  STOCK HELD OR  NUMBER OF SHAIL  IF SOLD  BUSINESS ENTITY  STOCK HELD OR	RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  CHUBB CORP  FILER  LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  CLEAN HARBORS IN  FILER  LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999

STOCK					PART Z
☐ NOT APPLICABL	_E				
and indicate the categ	ory of the numbent of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY		CREE INC	NA	ME	
2 STOCK HELD OR A	CQUIRED BY		SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAR	RES	LESS THAN 100 5,000 TO 9,999		☐ 500 TO 999	1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	,	CATEPILLAR INC	NA	ME	
STOCK HELD OR A	CQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHAR	RES	LESS THAN 100	☐ 100 TO 499	<b>⊠</b> 500 TO 999	1,000 TO 4,999
		☐ 5,000 TO 9,999	☐ 10,000 OR MORE		
IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	,	D R HORTON INC	NA	AME	
BUSINESS ENTITY STOCK HELD OR A		D R HORTON INC	NA SPOUSE	ME DEPENDENT CHIL	D
	ACQUIRED BY			DEPENDENT CHIL	.D ☐ 1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY	☐ LESS THAN 100	☐ SPOUSE X 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE  ☑ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE  ☑ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 : ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  DISCOVER FINL SVC	SPOUSE  100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999   MME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ DISCOVER FINL SVC ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  S  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999   MME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ DISCOVER FINL SVC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE  ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  S ☐ SPOUSE ☐ 10,000 OR MORE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999   ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ DISCOVER FINL SVC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE  ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  S ☐ SPOUSE ☐ 10,000 OR MORE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999   ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR AN NUMBER OF SHARE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR AN NUMBER OF SHARE  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ DISCOVER FINL SVC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ BAY INC COM	☐ SPOUSE  ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  S ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999   ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999   ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ DISCOVER FINL SVC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ BAY INC COM ☐ FILER ☐ LESS THAN 100	☐ SPOUSE  ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  S ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999   ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999   ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999

STOCK					PART Z
☐ NOT APPLICABI	E				
and indicate the categ	ory of the numbent of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY		ELAN CORP PLC ADF		ME	
2 STOCK HELD OR A	ACQUIRED BY	☐ FILER	SPOUSE	☐ DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAF	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		ELI LILLY & CO	NA	ME	
STOCK HELD OR A	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF	RES	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
IF SOLD	☐ NET GAIN☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	S10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		ENERGY XXI LTD NE		ME	
STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF	RES	LESS THAN 100	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	•	EOG RESOURCES IN		ME	
STOCK HELD OR A	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHAF	RES	<ul><li>X LESS THAN 100</li><li>☐ 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	,	FACEBOOK INC CLA		AME OCK	
STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	.D
NUMBER OF SHAF	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	☐ \$25,000OR MORE
		ND ATTACH ADDITE			

					PART Z
☐ NOT APPLICABI	_E				
and indicate the categ	ory of the number at of the net gain	your spouse, or a depe or of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY		FRAC KINDER MORG		ME	
2 STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILI	D
<sup>3</sup> NUMBER OF SHAR	RES	LESS THAN 100	☐ 100 TO 499 ☑ 10,000 OR MORE	□ 500 TO 999	1,000 TO 4,999
4 IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		FORD MOTOR CO	NA	ME	
STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	RES	LESS THAN 100 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		FREEPRT-MCMRAN (		ME	
STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	
		<u> </u>			\$25,000OR MORE
BUSINESS ENTITY		EMERSON ELEC CO		ME	\$25,000OR MORE
BUSINESS ENTITY  STOCK HELD OR A		EMERSON ELEC CO			
	ACQUIRED BY		ŊA	DEPENDENT CHIL	
STOCK HELD OR A	ACQUIRED BY	☐ LESS THAN 100	NA SPOUSE 100 TO 499	DEPENDENT CHIL	D
STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999	NA SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHIL  Soo to 999	D 1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF IF SOLD	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	NA SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  510,000 - \$24,999	D 1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY	ACQUIRED BY  RES  NET GAIN NET LOSS  ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  FLUOR CORP NEW	NA SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHIL    500 TO 999	D 1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY  RES  NET GAIN NET LOSS  ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  FLUOR CORP NEW ☐ FILER ☐ LESS THAN 100	SPOUSE   100 TO 499   10,000 OR MORE   S5,000 - \$9,999   NA	DEPENDENT CHIL    500 TO 999	D

STOCK					PART Z
☐ NOT APPLICABL	.E				
and indicate the catego	ory of the numbe t of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY		GNC HOLDINGS INC		ME	
2 STOCK HELD OR A	CQUIRED BY		SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAR	ES	LESS THAN 100	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	□ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	···	HALLIBURTON COMF		ME	
STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	ES	LESS THAN 100 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		THE INVESTMENT CO		ME CA-A	
STOCK HELD OR A	CQUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	ES	LESS THAN 100  5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY		HERTZ GLOBAL HOL		ME	
STOCK HELD OR A	CQUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	<b>⊠</b> 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		HOME DEPOT INC	N.A	AME	
STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	.D
NUMBER OF SHAR	RES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE

Texas Ethics Commission	P.O. Box 12070	Austin, Texas 78711-207

(512)463-5800

1-800-325-8506

STOCK				PART 2
☐ NOT APPLICABLE				
List each business entity in which you, and indicate the category of the number category of the amount of the net gain INSTRUCTION GUIDE.	er of shares held or acq	uired. If some or all	of the stock was sold	d, also indicate the
When reporting information about a de providing the number under which the			oout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	INGREDION INC SHS		ME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHARES	LESS THAN 100	<ul><li>X 100 TO 499</li><li> 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	INTL PAPER CO	NA	ME	The second secon
STOCK HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	LESS THAN 100	<ul><li>X 100 TO 499</li><li> 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	KINDER MORGAN MA		ME	
STOCK HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	LENNAR CORP CL A	NA	ME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	LESS THAN 100	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	LORILLARD INC	NA	ME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	<ul><li>X LESS THAN 100</li><li>☐ 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
COPY A	ND ATTACH ADDITION	ONAL PAGES AS	NECESSARY	

STOCK					PART 2
☐ NOT APPLICABL	E				
and indicate the catego	ory of the number t of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
When reporting information providing the number u		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY		LYONDELLBASELL IN		ME	
2 STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAR	ES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li> 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		MICROCHIP TECHNO		ME	
STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	ES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		MORGAN STANLEY	NA	ME	
BUSINESS ENTITY STOCK HELD OR A	CQUIRED BY	MORGAN STANLEY	NA SPOUSE	ME DEPENDENT CHIL	D
MINISTER CONTRACTOR CO				DEPENDENT CHIL  500 TO 999	D 1,000 TO 4,999
STOCK HELD OR A		☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE	DEPENDENT CHIL	
STOCK HELD OR A NUMBER OF SHAR	ES NET GAIN	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR IF SOLD	ES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL  ☑ 500 TO 999  ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR IF SOLD BUSINESS ENTITY	NET GAIN NET LOSS	☐ LESS THAN 100☐ 5,000 TO 9,999☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL  ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME  ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  NORDSTROM INC ☐ FILER ☐ LESS THAN 100	□ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  NA □ SPOUSE □ 100 TO 499	☐ DEPENDENT CHIL  ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME  ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR	NET GAIN NET LOSS  CQUIRED BY ES	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  NORDSTROM INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL  ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME  ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD	ES  NET GAIN NET LOSS  CQUIRED BY ES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  NORDSTROM INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL  ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME  ☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY	ES  NET GAIN NET LOSS  CQUIRED BY ES  NET GAIN NET LOSS  CQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  NORDSTROM INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  NOVO NORDISK A S	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  ADR	☐ DEPENDENT CHIL  ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ☐ □ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ES  NET GAIN NET LOSS  CQUIRED BY ES  NET GAIN NET LOSS  CQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  NORDSTROM INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  NOVO NORDISK A S ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  ADR ☐ SPOUSE ☐ 100 TO 499 ☐ 100 TO 499 ☐ 100 TO 499	☐ DEPENDENT CHIL  ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ☐ □ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE

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Austin, Texas 78711-2070

STOCK					PART 2
☐ NOT APPLICABL	E				
List each business enti and indicate the categor category of the amount INSTRUCTION GUIDE	ory of the number t of the net gain	r of shares held or acq	uired. If some or all	of the stock was sold	d, also indicate the
When reporting information providing the number u				bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY		OCWEN FINL CORP (		ME	
2 STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAR	ES	LESS THAN 100	<ul><li>X 100 TO 499</li><li> 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	A AND AND AND AND AND AND AND AND AND AN	OMNICARE INC	NA	ME	
STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	ES	LESS THAN 100	X 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
		☐ 5,000 TO 9,999	☐ 10,000 OR MORE		
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		PERRIGO CO	NA	ME	
		FERRIGO CO			
STOCK HELD OR A	CQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHIL	D
STOCK HELD OR A			☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE		D 1,000 TO 4,999
		☐ FILER ☐ LESS THAN 100	☐ 100 TO 499		
NUMBER OF SHAR	NET GAIN	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	500 TO 999	1,000 TO 4,999
NUMBER OF SHAR	ES    NET GAIN   NET LOSS	<ul><li>☒ FILER</li><li>☒ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☐ LESS THAN \$5,000</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	□ 500 TO 999 : : : \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE
NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY	NET GAIN NET LOSS	☐ LESS THAN \$5,000  □ FIZER INC	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	\$10,000 - \$24,999  ME  DEPENDENT CHIL	1,000 TO 4,999  \$25,000OR MORE
IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PFIZER INC ☐ FILER ☐ LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☑ 100 TO 499	\$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A  NUMBER OF SHAR	NET GAIN NET LOSS  ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PFIZER INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	\$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR	NET GAIN NET LOSS  ACQUIRED BY SES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PFIZER INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE
IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY	NET GAIN NET LOSS  ACQUIRED BY SES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PFIZER INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PHILIP MORRIS INTL	☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999 ☐ 10,000 - \$9,999	500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE
IF SOLD  BUSINESS ENTITY  STOCK HELD OR A  NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	NET GAIN NET LOSS  ACQUIRED BY SES NET GAIN NET LOSS	<ul> <li>X FILER</li> <li>X LESS THAN 100</li> <li>D 5,000 TO 9,999</li> <li>D LESS THAN \$5,000</li> <li>PFIZER INC</li> <li>X FILER</li> <li>D LESS THAN 100</li> <li>D 5,000 TO 9,999</li> <li>D LESS THAN \$5,000</li> <li>PHILIP MORRIS INTL</li> <li>X FILER</li> <li>X FILER</li> <li>X LESS THAN 100</li> </ul>	☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999 ☐ 10,000 OR MORE ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE	500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999

Texas Ethics Commission	P.O. Box 120	O70 Austin, Texas 78	8711-2070	(512)463-	-5800	1-800-32	
STOCK						PART	2
☐ NOT APPLICA	BLE						
and indicate the cate category of the amou INSTRUCTION GUI	egory of the numbe unt of the net gain DE.	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or alle sale. For more in	l of the stock was solo formation, see FORM	d, also indi 1 PFS	icate the	
		pendent child's activity child is listed on the Co		bout whom you are r	eporting by	/	
<sup>1</sup> BUSINESS ENTIT	Υ	PROTHENA CORP PL		ME	**************************************		
2 STOCK HELD OR	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	.D		
<sup>3</sup> NUMBER OF SHA	ARES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000	TO 4,999	
<sup>4</sup> IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,00	0OR MOF	RE
BUSINESS ENTIT	Υ	PLUM CREEK TIMBE		ME			
STOCK HELD OR	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	.D	,	
NUMBER OF SHA	ARES	LESS THAN 100	<ul><li>X 100 TO 499</li><li> 10,000 OR MORE</li></ul>	☐ 500 TO 999	1,000	TO 4,999	
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,00	0OR MOF	RE
BUSINESS ENTIT	·Y	TEXAS INSTRUMENT		ME			
STOCK HELD OR	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	.D		
NUMBER OF SHA	ARES	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	<b>⊠</b> 500 TO 999	1,000	TO 4,999	
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,00	00OR MOF	RE
BUSINESS ENTIT	Υ	PVH CORP	N.A	ME			
STOCK HELD OR	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	.D		
NUMBER OF SHA	ARES	<ul><li>X LESS THAN 100</li><li>☐ 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000	TO 4,999	
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,00	0OR MOF	RE
BUSINESS ENTIT	Υ	QUESTAR CORP NP		ME			

NUMBER OF SHARES

IF SOLD

exas Ethics Commission	P.O. Box 12070	Austin, Texas 78711-2070	(512)463-5800	1-800-325-8506

STOCK					PART 2
☐ NOT APPLICABI	LE				
and indicate the categ	ory of the number nt of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY		REGENERON PHARM		ME	
2 STOCK HELD OR A	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAF	RES	X LESS THAN 100  □ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	•	ROBERTHALF INTL IN		ME	
STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	D
NUMBER OF SHAF	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>☒ 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	,	ROPER INDUSTRIES		ME	
BUSINESS ENTITY		ROPER INDUSTRIES		ME DEPENDENT CHIL	D
	ACQUIRED BY		INC COM	DEPENDENT CHIL  500 TO 999	D 1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY	☐ FILER ☐ LESS THAN 100	INC COM  SPOUSE  100 TO 499  10,000 OR MORE	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	INC COM  ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF IF SOLD	ACQUIRED BY RES NET GAIN NET LOSS	<ul><li>☒ FILER</li><li>☒ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☐ LESS THAN \$5,000</li></ul>	INC COM  ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF IF SOLD BUSINESS ENTITY	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN \$5,000  SLM CORP	INC COM  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  SLM CORP ☐ FILER ☐ LESS THAN 100	INC COM  ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  SLM CORP ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	INC COM	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  SLM CORP ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	INC COM	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  SLM CORP ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  SOUTHWEST AIRLING	SPOUSE	□ DEPENDENT CHIL         □ 500 TO 999         □ \$10,000 - \$24,999         ME         □ DEPENDENT CHIL         □ 500 TO 999         ME         □ DEPENDENT CHIL         □ DEPENDENT CHIL         □ DEPENDENT CHIL         □ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS		SPOUSE	□ DEPENDENT CHIL         □ 500 TO 999         □ \$10,000 - \$24,999         ME         □ DEPENDENT CHIL         □ 500 TO 999         ME         □ DEPENDENT CHIL         □ DEPENDENT CHIL         □ DEPENDENT CHIL         □ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ □ \$25,000OR MORE

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STOCK				PART 2

☐ NOT APPLICABL	_E				
and indicate the categ	ory of the numbe it of the net gain	your spouse, or a depe or of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY		TRANSDIGM GROUP		ME	O har a state of the state of t
2 STOCK HELD OR A	CQUIRED BY	☐ FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAR	RES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY		TW TELECOM INC	NA	ME	
STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>■ 100 TO 499</li><li>■ 10,000 OR MORE</li></ul>	☐ 500 TO 999	1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		ULTA SALON COSME		ME	
STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	D
NUMBER OF SHAR	RES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
IF SOLD	☐ NET GAIN☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		UNITED STS STL COI		ME	
STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		VALERO ENERGY CO		ME	
STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	RES	LESS THAN 100	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	□ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	COPY A	ND ATTACH ADDITION	NAI PAGES AS	NECESSARY	

STOCK					PART 2
☐ NOT APPLICAE	BLE				
and indicate the cate	gory of the numbe nt of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		oout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	Y	WAL-MART STORES		ME	
2 STOCK HELD OR	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAI	RES	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTIT	Y	WELLS FARGO & CO		ME	
STOCK HELD OR	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAI	RES	LESS THAN 100 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTIT	Y	WEYERHAEUSER CO		ME	
STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAI	RES	LESS THAN 100	<ul><li>■ 100 TO 499</li><li>■ 10,000 OR MORE</li></ul>	☐ 500 TO 999	1,000 TO 4,999
IF SOLD	☐ NET GAIN☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	Y	WHIRLPOOL CORP	NA	ME	
STOCK HELD OR	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHA	RES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	Y	WHOLE FOODS MKT		ME	
STOCK HELD OR	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHA	RES	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	□ 500 TO 999	X 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	COPY A	ND ATTACH ADDITION	ONAL PAGES AS	NECESSARY	

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STOCK					PART 2
☐ NOT APPLICABL	_E				
and indicate the catego	ory of the number at of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		oout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY		WINDSTREAM CORP	NA	ME	1949 - 1949 - 1949 - 1949 - 1949 - 1949 - 1949 - 1949 - 1949 - 1949 - 1949 - 1949 - 1949 - 1949 - 1949 - 1949
2 STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAR	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		WYNDHAM WORLDW		ME	
STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	RES	X LESS THAN 100 □ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
***************************************					
BUSINESS ENTITY		ADT CORP SHS	NA	ME	
BUSINESS ENTITY STOCK HELD OR A		ADT CORP SHS	NA SPOUSE	ME DEPENDENT CHIL	D
	ACQUIRED BY			DEPENDENT CHIL	D 1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY	☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE	DEPENDENT CHIL	
STOCK HELD OR A	CQUIRED BY RES NET GAIN NET LOSS	☐ FILER ☐ 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR IF SOLD	CQUIRED BY RES  NET GAIN NET LOSS	<ul><li>☒ FILER</li><li>☒ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☒ LESS THAN \$5,000</li></ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY	ACQUIRED BY  RES  NET GAIN NET LOSS  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  ALBEMARLE CORP C	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY  RES  NET GAIN NET LOSS  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ ALBEMARLE CORP C ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  COM  SPOUSE  100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	<ul> <li>X FILER</li> <li>X LESS THAN 100</li> <li>D 5,000 TO 9,999</li> <li>X LESS THAN \$5,000</li> <li>ALBEMARLE CORP C</li> <li>X FILER</li> <li>X LESS THAN 100</li> <li>D 5,000 TO 9,999</li> </ul>	□ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  NA  COM □ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	<ul> <li>X FILER</li> <li>X LESS THAN 100</li> <li>D 5,000 TO 9,999</li> <li>X LESS THAN \$5,000</li> <li>ALBEMARLE CORP CO</li> <li>X FILER</li> <li>X LESS THAN 100</li> <li>D 5,000 TO 9,999</li> <li>X LESS THAN \$5,000</li> </ul>	□ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  NA  COM □ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES ACQUIRED BY RES ACQUIRED BY RES ACQUIRED BY ACQUIRED BY	<ul> <li>X FILER</li> <li>X LESS THAN 100</li> <li>D 5,000 TO 9,999</li> <li>X LESS THAN \$5,000</li> <li>ALBEMARLE CORP C</li> <li>X FILER</li> <li>X LESS THAN 100</li> <li>D 5,000 TO 9,999</li> <li>X LESS THAN \$5,000</li> <li>ALLEGHENY TECH IN</li> </ul>	□ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  COM □ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  NA	☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES ACQUIRED BY RES ACQUIRED BY RES ACQUIRED BY ACQUIRED BY		□ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  COM □ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  NA  NC □ SPOUSE □ 100 TO 499 □ 100 TO 499 □ 100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ □

STOCK					PART 2
☐ NOT APPLICAB	BLE				
and indicate the cated	gory of the numbe int of the net gain	your spouse, or a depe er of shares held or acq or loss realized from the	uired. If some or all	I of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	Y	AMERICAN INTERNA		AME C	
2 STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	☐ DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAP	RES	LESS THAN 100 5,000 TO 9,999	☐ 100 TO 499 ☑ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
<sup>4</sup> IF SOLD	X NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	Υ	APPLE INC	N.F	AME	
STOCK HELD OR	ACQUIRED BY	☐ FILER	SPOUSE	☐ DEPENDENT CHIL	D
NUMBER OF SHAI	RES	LESS THAN 100 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
IF SOLD	X NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	X \$25,000OR MORE
BUSINESS ENTITY	Y	AVALONBAY CMMUN		AME	
BUSINESS ENTITY STOCK HELD OR		AVALONBAY CMMUN		AME  DEPENDENT CHIL	.D
	ACQUIRED BY		I INC	DEPENDENT CHIL	 ☐ 1,000 TO 4,999
STOCK HELD OR	ACQUIRED BY	☐ FILER ☐ LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR NUMBER OF SHAI	ACQUIRED BY RES NET GAIN NET LOSS	☐ 5,000 TO 9,999	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR NUMBER OF SHAI	ACQUIRED BY RES NET GAIN NET LOSS	<ul><li>☒ FILER</li><li>☒ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☒ LESS THAN \$5,000</li></ul>	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAI	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  BANK OF AMERICA C	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAIN IF SOLD  BUSINESS ENTITY  STOCK HELD OR	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ FILER  ☐ LESS THAN 100 ☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  ☐ BANK OF AMERICA CO ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  CORP  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAIR SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHAIR	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ BANK OF AMERICA C ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  CORP  SPOUSE  100 TO 499  X 10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D 1,000 TO 4,999
STOCK HELD OR NUMBER OF SHAIR SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHAIR SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  BANK OF AMERICA C ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  CORP  SPOUSE  100 TO 499  X 10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  =	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  1,000 TO 4,999
STOCK HELD OR NUMBER OF SHAIR SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHAIR SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  BANK OF AMERICA C ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  BIOGEN IDEC INC	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  CORP  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  1,000 TO 4,999
STOCK HELD OR NUMBER OF SHAIR SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHAIR SOLD  BUSINESS ENTITY  STOCK HELD OR STOCK HE	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ BANK OF AMERICA C ☐ FILER ☐ LESS THAN \$5,000 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ BIOGEN IDEC INC ☐ FILER ☐ LESS THAN 100	SPOUSE    100 TO 499   10,000 OR MORE   \$5,000 - \$9,999   SPOUSE   100 TO 499   \$10,000 OR MORE   \$5,000 - \$9,999   NA	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE

STOCK					PART 2
☐ NOT APPLICABL	_E				
and indicate the categor	ory of the numbe at of the net gain	your spouse, or a depe er of shares held or acquor loss realized from the	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co	ver Sheet.	<u>-</u>	eporting by
<sup>1</sup> BUSINESS ENTITY		BRISTOL-MYERS SQI		ME	
2 STOCK HELD OR A	CQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAR	RES	LESS THAN 100	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	□ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	X NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		CAPITOL ONE FINL	NA	ME	
STOCK HELD OR A	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	RES	X LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
	Market	☐ 5,000 TO 9,999	☐ 10,000 OR MORE		
IF SOLD	☐ NET GAIN ☑ NET LOSS		\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
		Y			
BUSINESS ENTITY		CF INDS HLDGS INC	NA	AME	
BUSINESS ENTITY STOCK HELD OR A		CF INDS HLDGS INC	NA SPOUSE	ME DEPENDENT CHIL	D
	ACQUIRED BY			DEPENDENT CHIL	D 1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY	☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS	☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR IF SOLD	ACQUIRED BY RES NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 : ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR IF SOLD BUSINESS ENTITY	ACQUIRED BY RES INET GAIN INET LOSS ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ CHENIERE ENERGY	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  MME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES INET GAIN INET LOSS ACQUIRED BY	☐ FILER  ☐ LESS THAN 100 ☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  CHENIERE ENERGY ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  MME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ CHENIERE ENERGY ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	□ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  NA □ SPOUSE □ 10,000 OR MORE □ \$5,000 - \$9,999 □ 10,000 OR MORE	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999   ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D 1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET GAIN	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ CHENIERE ENERGY ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	□ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  NA □ SPOUSE □ 10,000 OR MORE □ \$5,000 - \$9,999 □ 10,000 OR MORE	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  MME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ CHENIERE ENERGY ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ CROWN HLDGS INC	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999 ☐ NA	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ CHENIERE ENERGY ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ CROWN HLDGS INC ☐ FILER ☐ LESS THAN 100 ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE

STOCK					PART 2
☐ NOT APPLICABL	_E				
and indicate the categ	ory of the numbe at of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY		D R HORTON INC	NA	ME	
2 STOCK HELD OR A	CQUIRED BY	∏ FILER	SPOUSE	☐ DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAR	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>✓ 100 TO 499</li><li>✓ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	X NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	:	DISCOVER FINL SVC		ME	
STOCK HELD OR A	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	RES	X LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
		☐ 5,000 TO 9,999	☐ 10,000 OR MORE		
IF SOLD	X NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
		· · · · · ·			
BUSINESS ENTITY		DOW CHEMICAL CO	NA	ME	
BUSINESS ENTITY  STOCK HELD OR A		DOW CHEMICAL CO	NA □ SPOUSE	ME DEPENDENT CHIL	D
	ACQUIRED BY			DEPENDENT CHIL	D 1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY	☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL	_ <del></del>
STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS	☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS	<ul><li>☐ FILER</li><li>☐ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☐ LESS THAN \$5,000</li></ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR IF SOLD BUSINESS ENTITY	ACQUIRED BY  RES  NET GAIN NET LOSS  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ EBAY INC COM	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY  RES  NET GAIN NET LOSS  ACQUIRED BY	☐ FILER  ☐ LESS THAN 100 ☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  ☐ EBAY INC COM ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ EBAY INC COM ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D 1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ BAY INC COM ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR AN NUMBER OF SHARE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR AN NUMBER OF SHARE  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ BAY INC COM ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ EQUITY RESIDENTIA	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ☐ □ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ BAY INC COM ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ EQUITY RESIDENTIA ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ☐ □ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999

STOCK					PART Z
☐ NOT APPLICABL	_E	Meabout the second of Assessment			
and indicate the categor	ory of the numbe at of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	of the stock was solo	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY		EXXON MOBIL CORP		ME	
2 STOCK HELD OR A	CQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAR	RES	LESS THAN 100 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
<sup>4</sup> IF SOLD	X NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		FANNIE MAE (USA) C		AME	
STOCK HELD OR A	CQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	RES	LESS THAN 100 5,000 TO 9,999	☐ 100 TO 499 ☒ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☑ NET LOSS	LESS THAN \$5,000	☒ \$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		FREDDIE MAC	NA NA	AME	
BUSINESS ENTITY STOCK HELD OR A		FREDDIE MAC	NA SPOUSE	ME DEPENDENT CHIL	.D
	ACQUIRED BY			DEPENDENT CHIL	.D ☐ 1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY	☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☑ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR IF SOLD	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☑ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  E  ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 GLOBAL PMTS INC G	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ GLOBAL PMTS INC G ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  SEORGIA  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE ☐ ☐ 1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ GLOBAL PMTS INC G ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  SEORGIA  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  E  XME ☐ DEPENDENT CHIL ☐ 500 TO 999  E	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE ☐ ☐ 1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ GLOBAL PMTS INC G ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  SEORGIA  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ GLOBAL PMTS INC G ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ GOLDCORP INC	□ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  SEORGIA □ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  E	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ SOME PMTS INC GOOD TO 9,999 ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ GOLDCORP INC ☐ FILER ☐ LESS THAN 100	□ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  SEORGIA □ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  NA □ SPOUSE □ 100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999  E	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999

STOCK					PART 2
☐ NOT APPLICAB	BLE				
and indicate the categ	gory of the numbe nt of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	I of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	(	HASBRO INC COM	N/A	AME	
2 STOCK HELD OR A	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAF	RES	LESS THAN 100 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li>X 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☑ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	(	HERTZ GLOBAL HOL		AME	
STOCK HELD OR A	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF	RES	X LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
,		☐ 5,000 TO 9,999	10,000 OR MORE		V09/10/07/10/10/10/10/10/10/10/10/10/10/10/10/10/
IF SOLD	X NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	☐ \$25,000OR MORE
BUSINESS ENTITY	(	HOME DEPOT CO	NA	AME	
BUSINESS ENTITY STOCK HELD OR A		HOME DEPOT CO	NA SPOUSE	ME DEPENDENT CHIL	.D
	ACQUIRED BY			DEPENDENT CHIL	.D ☐ 1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY	☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS	<ul><li>☒ FILER</li><li>☒ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li></ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAP IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS	<ul><li>☐ FILER</li><li>☐ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☐ LESS THAN \$5,000</li></ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR AN NUMBER OF SHAF	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ INTL PAPER CO	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ FILER  ☐ LESS THAN 100 ☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  INTL PAPER CO ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
STOCK HELD OR AN NUMBER OF SHAFE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR AN NUMBER OF SHAFE	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ INTL PAPER CO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
STOCK HELD OR ANUMBER OF SHAFE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ANUMBER OF SHAFE  IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ INTL PAPER CO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR ANUMBER OF SHAFE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ANUMBER OF SHAFE  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	INTL PAPER CO I	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR AN NUMBER OF SHAPE IF SOLD  BUSINESS ENTITY  STOCK HELD OR AN NUMBER OF SHAPE IF SOLD  BUSINESS ENTITY  STOCK HELD OR AN	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	X   FILER   X   LESS THAN 100   5,000 TO 9,999   X   LESS THAN \$5,000   INTL PAPER CO   X   FILER   LESS THAN 100   5,000 TO 9,999   X   LESS THAN \$5,000   ISRAEL CHEMICALS-   X   FILER   LESS THAN 100   LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  UNSPON ☐ SPOUSE ☐ 100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999

STOCK					PART 2
☐ NOT APPLICA	BLE				
and indicate the cate	egory of the numbe unt of the net gain	your spouse, or a depe er of shares held or acq or loss realized from the	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTIT	Υ	ITAU UNIBANCO BAN		ME NSORED ADR	
2 STOCK HELD OR	ACQUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHA	RES	LESS THAN 100	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN  ▼ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	☐ \$25,000OR MORE
BUSINESS ENTIT	Υ	ITC HLDGS CORP	NA	AME	
STOCK HELD OR	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHA	RES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
IF SOLD	X NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTIT	Y	J M SMUCKER CO	NA	AME	
BUSINESS ENTIT		J M SMUCKER CO	NA SPOUSE	MME  DEPENDENT CHIL	.D
	ACQUIRED BY			DEPENDENT CHIL	 1,000 TO 4,999
STOCK HELD OR	ACQUIRED BY	☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS	<ul><li>☒ FILER</li><li>☒ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☒ LESS THAN \$5,000</li></ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT	ACQUIRED BY ARES  NET GAIN NET LOSS  Y ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ JUNIPER NETWORKS	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY ARES  NET GAIN NET LOSS  Y ACQUIRED BY	☐ FILER  ☐ LESS THAN 100 ☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  ☐ JUNIPER NETWORKS ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  SINC  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS  Y ACQUIRED BY ARES  NET GAIN NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ JUNIPER NETWORKS ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  SINC  SPOUSE  10,000 OR MORE  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE ☐ 1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD	ACQUIRED BY ARES  NET GAIN NET LOSS  Y ACQUIRED BY ARES  NET GAIN NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ JUNIPER NETWORKS ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  SINC  SPOUSE  10,000 OR MORE  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT	ACQUIRED BY ARES  NET GAIN NET LOSS  Y ACQUIRED BY ARES  NET GAIN NET LOSS  Y ACQUIRED BY ARES	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ JUNIPER NETWORKS ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ KEYCORP NEW COM	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  SINC  SPOUSE  10,000 OR MORE  10,000 OR MORE	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY ARES  NET GAIN NET LOSS  Y ACQUIRED BY ARES  NET GAIN NET LOSS  Y ACQUIRED BY ARES	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ JUNIPER NETWORKS ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ KEYCORP NEW COM ☐ FILER ☐ LESS THAN 100	□ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  SINC □ SPOUSE □ 10,000 OR MORE □ \$5,000 - \$9,999 □ 10,000 - \$9,999  NA □ SPOUSE □ SPOUSE □ 100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ \$10,000 - \$24,999	□ 1,000 TO 4,999 □ \$25,000OR MORE □ 1,000 TO 4,999 □ \$25,000OR MORE □ 1,000 TO 4,999 □ 1,000 TO 4,999

STOCK					PART 2
☐ NOT APPLICABI	LE				
and indicate the categ	ory of the numbent of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	•	KIRBY CORP COM	NA	ME	
2 STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAR	RES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	X NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	,	LENNAR CORP CL A	NA	AME	
STOCK HELD OR A	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	RES	LESS THAN 100	<ul><li>X 100 TO 499</li><li> 10,000 OR MORE</li></ul>	☐ 500 TO 999	1,000 TO 4,999
IF SOLD	X NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	•	LORILLARD INC	NA	AME	
BUSINESS ENTITY  STOCK HELD OR A		LORILLARD INC	NA SPOUSE	ME DEPENDENT CHIL	.D
	ACQUIRED BY			DEPENDENT CHIL	.D ☐ 1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY	☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ LYONDELLBASELL IN	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ LYONDELLBASELL IN ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  NDUSTRIE  SPOUSE  100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999  5 ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  5	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ LYONDELLBASELL IN ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NDUSTRIE  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  5 ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  5	1,000 TO 4,999  \$25,000OR MORE  D 1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ LYONDELLBASELL IN ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NDUSTRIE  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ LYONDELLBASELL IN ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  MARSH & MCLENNAN	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NDUSTRIE  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	ILESS THAN 100	□ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  NDUSTRIE □ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  N COS INC □ SPOUSE □ 100 TO 499 □ 10,000 OR MORE	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE

STOCK					PART 2
☐ NOT APPLICABL	.E				
and indicate the catego	ory of the number t of the net gain	your spouse, or a depe or of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co	ver Sheet.		eporting by
<sup>1</sup> BUSINESS ENTITY		MCDONALDS CORP (		ME	
2 STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAR	ES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
<sup>4</sup> IF SOLD	X NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		METHANEX CORP CO		ME	
STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	RES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
IF SOLD	☐ NET GAIN  ☑ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		MICROCHIP TECHNO		ME	
BUSINESS ENTITY  STOCK HELD OR A		MICROCHIP TECHNO		ME DEPENDENT CHIL	D
	ACQUIRED BY		LOGY INC	DEPENDENT CHIL	D 1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY	☐ LESS THAN 100	SPOUSE  100 TO 499	DEPENDENT CHIL	
STOCK HELD OR A	CQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100☐ 5,000 TO 9,999	DLOGY INC  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100☐ 5,000 TO 9,999☐ ☐ LESS THAN \$5,000☐	DLOGY INC  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY	ACQUIRED BY  RES  NET GAIN NET LOSS  ACQUIRED BY	☐ LESS THAN 100☐ 5,000 TO 9,999☐ ☐ LESS THAN \$5,000☐ MONSTER BEVERAG	SPOUSE  \[ \text{ 100 TO 499} \]  \[ \text{ 10,000 OR MORE} \]  \[ \text{ \$5,000 - \$9,999} \]  E CORP	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY  RES  NET GAIN NET LOSS  ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  MONSTER BEVERAG ☐ FILER ☐ LESS THAN 100	SPOUSE   S	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ MONSTER BEVERAG ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	SPOUSE   100 TO 499   10,000 OR MORE   \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999   ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  MONSTER BEVERAG ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	SPOUSE   100 TO 499   10,000 OR MORE   \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES ACQUIRED BY RES ACQUIRED BY RES ACQUIRED BY ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  MONSTER BEVERAG ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  NAT FUEL GAS CO N	SPOUSE     100 TO 499   10,000 OR MORE     \$5,000 - \$9,999     10,000 OR MORE     100 TO 499   10,000 OR MORE     \$5,000 - \$9,999     10,000 OR MORE     \$5,000 - \$9,999     NA	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES ACQUIRED BY RES ACQUIRED BY RES ACQUIRED BY ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ MONSTER BEVERAG ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ NAT FUEL GAS CO N ☐ FILER ☐ LESS THAN 100	SPOUSE   100 TO 499   10,000 OR MORE   55,000 - \$9,999   10,000 OR MORE   100 TO 499   10,000 OR MORE   \$5,000 - \$9,999   NA	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ □ 1,000 TO 4,999

STOCK					PART 2
☐ NOT APPLICAB	BLE				
and indicate the cated	gory of the numbe nt of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co	ver Sheet.	-	eporting by
<sup>1</sup> BUSINESS ENTITY	<b>′</b>	NIKE INC CL B	NA	AME	
2 STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAF	RES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN  ☑ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	<b>Y</b>	NORDSTROM INC	NA	AME	
STOCK HELD OR A	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF	RES	<ul><li>X LESS THAN 100</li><li>☐ 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	X NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	☐ \$25,000OR MORE
BUSINESS ENTITY	7	NUANCE COMMUNIC		AME	
BUSINESS ENTITY STOCK HELD OR A		NUANCE COMMUNIC		AME  DEPENDENT CHIL	.D
	ACQUIRED BY		CATIONS IN	DEPENDENT CHIL	 1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY	☐ LESS THAN 100	SPOUSE  100 TO 499	DEPENDENT CHIL	
STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100☐ 5,000 TO 9,999	ATIONS IN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR IF SOLD	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100☐ 5,000 TO 9,999☐ ☐ LESS THAN \$5,000☐	ATIONS IN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR AN NUMBER OF SHAFE IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES NET GAIN NET LOSS Y ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ ONEOK INC (OKLAHO	SATIONS IN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR AN NUMBER OF SHAFE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS Y ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ ONEOK INC (OKLAHO ☐ FILER ☐ LESS THAN 100	SATIONS IN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  DMA)  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D 1,000 TO 4,999
STOCK HELD OR ANUMBER OF SHAFE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ANUMBER OF SHAFE	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ ONEOK INC (OKLAHO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	SATIONS IN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  DMA)  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D 1,000 TO 4,999
STOCK HELD OR ANUMBER OF SHAFE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ANUMBER OF SHAFE  IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  ONEOK INC (OKLAHO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	SATIONS IN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  DMA)  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999   \$10,000 - \$24,999   AME ☐ DEPENDENT CHIL ☐ 500 TO 999   \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR ANUMBER OF SHAFE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ANUMBER OF SHAFE  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  ONEOK INC (OKLAHO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  ORACLE CORP \$0.01	SATIONS IN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  DMA)  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  DEL	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR ANUMBER OF SHAPE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ANUMBER OF SHAPE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ANUMBER OF SHAPE  STOCK HELD OR ANUMBER OF SHAPE  BUSINESS ENTITY  STOCK HELD OR ANUMBER	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ ONEOK INC (OKLAHO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ ORACLE CORP \$0.01 ☐ FILER ☐ LESS THAN 100	SATIONS IN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  DMA)  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  DEL  SPOUSE  100 TO 499  100 TO 499  100 TO 499  100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE

STOCK					PART 2
☐ NOT APPLICAE	BLE				
and indicate the cate	gory of the number ont of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTIT	Y	OWENS CORNING IN		ME	
2 STOCK HELD OR	ACQUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAI	RES	LESS THAN 100	<ul><li>■ 100 TO 499</li><li>■ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☑ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	<b> \$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	Y	PENTAIR LTD	NA	ME	
STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHA	RES	X LESS THAN 100	100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
MATERIAL AND ADDRESS OF THE ADDRESS	<b>M</b>	5,000 TO 9,999	10,000 OR MORE		
IF SOLD	□ NET GAIN     □ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	Y	PERRIGO CO	NA	ME	
BUSINESS ENTITY STOCK HELD OR		PERRIGO CO	NA SPOUSE	ME DEPENDENT CHIL	.D
	ACQUIRED BY			DEPENDENT CHIL	D 1,000 TO 4,999
STOCK HELD OR	ACQUIRED BY	☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY RES  NET GAIN NET LOSS	<ul><li>☒ FILER</li><li>☒ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☒ LESS THAN \$5,000</li></ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 : ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAP IF SOLD BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ PHARMACYCLICS IN	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR  NUMBER OF SHAP  IF SOLD  BUSINESS ENTITY  STOCK HELD OR	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ PHARMACYCLICS IN ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  C  SPOUSE  100 TO 499  10,000 OR MORE	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999   ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAP  IF SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHAP	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN X NET GAIN X NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ PHARMACYCLICS IN ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  C  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  MME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE  D 1,000 TO 4,999
STOCK HELD OR NUMBER OF SHAP  IF SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHAP  IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ PHARMACYCLICS IN ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  C  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  MME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAP  IF SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHAP  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ PHARMACYCLICS IN ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ PIMCO 20+ YR ZERO	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  C ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  CPN US TREASING	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  \$10,000 - \$24,999  DEX FD  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAP  IF SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHAP  IF SOLD  BUSINESS ENTITY  STOCK HELD OR	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	X   FILER   X   LESS THAN 100   5,000 TO 9,999   X   LESS THAN \$5,000   PHARMACYCLICS IN   X   FILER   X   LESS THAN 100   5,000 TO 9,999   X   LESS THAN \$5,000   PIMCO 20+ YR ZERO   X   FILER   X   LESS THAN 100   X   FILER   X   LESS THAN 100	□ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  C □ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  CPN US TREASING □ SPOUSE □ 100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999  SME ☐ \$10,000 - \$24,999  SME ☐ DEPENDENT CHIL ☐ 500 TO 999  E E E E E E E E E E E E E E E E E	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999

STOCK					PART Z
☐ NOT APPLICAB	LE				
and indicate the categ	ory of the numbent of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	,	PIMCO 25+ YEAR ZE		ME	
2 STOCK HELD OR A	ACQUIRED BY	☑ FILER	SPOUSE	☐ DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAF	RES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN  X NET LOSS	☑ LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	•	PROSHARES TR PRO		ME IORT S&P NEW	
STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF	RES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN  X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	,	PROSHARES ULTRA		ME PE ETF	
STOCK HELD OR A	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>■ 100 TO 499</li><li>■ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN  ☑ NET LOSS	X LESS THAN \$5,000	<b>55,000 - \$9,999</b>	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	,	PROSHARES ULTRA		ME 100	
STOCK HELD OR A	ACQUIRED BY		SPOUSE	☐ DEPENDENT CHIL	D
NUMBER OF SHAF	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li> 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN  ▼ NET LOSS	X LESS THAN \$5,000	<b>55,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	/	PROSHARES ULTRA		ME	
STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>■ 100 TO 499</li><li>■ 10,000 OR MORE</li></ul>	☐ 500 TO 999	1,000 TO 4,999
IF SOLD	☐ NET GAIN ☑ NET LOSS	X LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE

1-800-325-8506

P.O. Box 12070 Austin, Texas 78711-2070

STOCK					PARI Z
☐ NOT APPLICAB	LE				
and indicate the categ	ory of the numbent of the net gain	your spouse, or a depe or of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	l, also indicate the
		pendent child's activity, child is listed on the Co		oout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	,	PROSHARES ULTRA	NA SHORT QQQ	ME	
2 STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAF	RES	LESS THAN 100	<ul><li>X 100 TO 499</li><li> 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☑ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	•	PROSHARES ULTRA	NA SHORT S&P	ME	
STOCK HELD OR A	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF	RES	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	X 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN  ☑ NET LOSS	☐ LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	1	PVH CORP	NA	ME	
STOCK HELD OR	ACQUIRED BY		SPOUSE	☐ DEPENDENT CHIL	D
NUMBER OF SHAF	RES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
IF SOLD	X NET GAIN ☐ NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	(	RED HAT INC	NA	ME	
STOCK HELD OR	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAP	RES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	X NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	<b>Y</b>	ROBERTHALF INTL		ME	
STOCK HELD OR	ACQUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	.D
NUMBER OF SHAI	RES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	X NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
				NECESSARY	

exas Ethics Commission	P.O. Box 12070	Austin, Texas 78711-2070	(512)463-5800	1-800-325-8506
			***************************************	

STOCK					PART 2
☐ NOT APPLICAB	LE				
and indicate the categ	ory of the numbent of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	,	ROYAL CARIBBEAN (		ME	
2 STOCK HELD OR A	ACQUIRED BY	☐ FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAF	RES	LESS THAN 100	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN  X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	•	SALESFORCE COM II		ME	
STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	D
NUMBER OF SHAF	RES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	NET GAIN     □ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	,	SLM CORP	NA	ME	
BUSINESS ENTITY STOCK HELD OR A		SLM CORP	NA □ SPOUSE	ME DEPENDENT CHIL	D
	ACQUIRED BY			DEPENDENT CHIL	D 1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY	☐ LESS THAN 100	☐ SPOUSE  ☑ 100 TO 499 ☐ 10,000 OR MORE	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100☐ 5,000 TO 9,999	☐ SPOUSE  ☑ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS	<ul><li>☐ FILER</li><li>☐ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☐ LESS THAN \$5,000</li></ul>	☐ SPOUSE  ☑ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100☐ 5,000 TO 9,999☐ ☐ LESS THAN \$5,000☐ SM ENERGY CO SHS	☐ SPOUSE  ☑ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  SM ENERGY CO SHS ☐ FILER ☐ LESS THAN 100	☐ SPOUSE  ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ SM ENERGY CO SHS ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE  ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ SM ENERGY CO SHS ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ SM LESS THAN \$5,000	☐ SPOUSE  ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHILL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ SM ENERGY CO SHS ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ SMITHFILDS FOODS	☐ SPOUSE  ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  PV\$0.50	□ DEPENDENT CHIL         □ 500 TO 999         □ \$10,000 - \$24,999         ME         □ DEPENDENT CHIL         □ 500 TO 999         ME         □ DEPENDENT CHIL         □ DEPENDENT CHIL         □ DEPENDENT CHIL         □ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ SM ENERGY CO SHS ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ SMITHFILDS FOODS ☐ FILER ☐ LESS THAN 100	☐ SPOUSE  ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  PV\$0.50 ☐ SPOUSE ☐ 100 TO 499	□ DEPENDENT CHIL         □ 500 TO 999         □ \$10,000 - \$24,999         ME         □ DEPENDENT CHIL         □ 500 TO 999         ME         □ DEPENDENT CHIL         □ DEPENDENT CHIL         □ DEPENDENT CHIL         □ 500 TO 999	1,000 TO 4,999

exas Ethics Commission	P.O. Box 12070	Austin, Texas 78711-2070	(512)463-5800	1-800-325-8506

STOCK				PART 2
☐ NOT APPLICABLE				
List each business entity in which yeard indicate the category of the nur category of the amount of the net gainstruction GUIDE.	ber of shares held or acc	uired. If some or all	of the stock was sold	d, also indicate the
When reporting information about a providing the number under which t			bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	SPDR GOLD TRUST	N.A	ME	
2 STOCK HELD OR ACQUIRED E	Y SILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHARES	<ul><li>X LESS THAN 100</li><li>☐ 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
4 IF SOLD ⊠ NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	STANLEY BLACK & D		ME	
STOCK HELD OR ACQUIRED E	Y X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD NET GAIN	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	_			
BUSINESS ENTITY	TEXAS ST TPK AT CI		AME AMBAC PRF12	
BUSINESS ENTITY  STOCK HELD OR ACQUIRED E				D
		ENT TEX REV A OID	AMBAC PRF12  DEPENDENT CHIL  500 TO 999	.D ☐ 1,000 TO 4,999
STOCK HELD OR ACQUIRED B	Y I FILER  LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MORE	AMBAC PRF12  DEPENDENT CHIL  500 TO 999	
STOCK HELD OR ACQUIRED B NUMBER OF SHARES  IF SOLD NET GAIN	Y   X FILER   LESS THAN 100   5,000 TO 9,999	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	AMBAC PRF12  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	1,000 TO 4,999
STOCK HELD OR ACQUIRED B NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS	Y   S FILER   LESS THAN 100   5,000 TO 9,999   S LESS THAN \$5,000   THE MOSAIC COMPA	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	AMBAC PRF12  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR ACQUIRED B  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY	Y   S FILER   LESS THAN 100   5,000 TO 9,999   S LESS THAN \$5,000   THE MOSAIC COMPA	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	AMBAC PRF12  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME RES  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR ACQUIRED B  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED B	Y   S FILER   LESS THAN 100   5,000 TO 9,999   S LESS THAN \$5,000    THE MOSAIC COMPA  Y   S FILER   LESS THAN 100	SPOUSE    100 TO 499   \$5,000 - \$9,999   ANY COMMON SHAF	AMBAC PRF12  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME RES  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR ACQUIRED B  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED B  NUMBER OF SHARES  IF SOLD NET GAIN	Y   S FILER   LESS THAN 100   5,000 TO 9,999   S LESS THAN \$5,000    THE MOSAIC COMPA Y   FILER   LESS THAN 100   5,000 TO 9,999	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  ANY COMMON SHAF  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	AMBAC PRF12  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ERES  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
STOCK HELD OR ACQUIRED B  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED B  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS	Y   S FILER   LESS THAN 100   5,000 TO 9,999   S FILER   LESS THAN \$5,000   THE MOSAIC COMPA Y   S FILER   LESS THAN 100   5,000 TO 9,999   S LESS THAN \$5,000   TIFFANY & CO NEW	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  ANY COMMON SHAF  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	AMBAC PRF12  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  RES  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR ACQUIRED B  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED B  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY	Y   S FILER   LESS THAN 100   5,000 TO 9,999   S FILER   LESS THAN \$5,000   THE MOSAIC COMPA Y   S FILER   LESS THAN 100   5,000 TO 9,999   S LESS THAN \$5,000   TIFFANY & CO NEW	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NAY COMMON SHAF  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	AMBAC PRF12  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  RES  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NET LOSS	Y   S FILER   LESS THAN 100   5,000 TO 9,999   THE MOSAIC COMPAY   FILER   LESS THAN \$5,000   TIFFANY & CO NEW   S FILER   LESS THAN 100   TIFFANY & CO NEW   S FILER   LESS THAN 100   LESS THAN 100   TIFFANY & CO NEW   S FILER   LESS THAN 100   TIFFANY & CO NEW   S FILER   LESS THAN 100   TIFFANY & CO NEW   S FILER   LESS THAN 100   TIFFANY & CO NEW   S FILER   LESS THAN 100   TIFFANY & CO NEW   S FILER   S FILER	SPOUSE    100 TO 499   10,000 OR MORE   \$5,000 - \$9,999   100 TO 499   10,000 OR MORE   100 TO 499   10,000 OR MORE   \$5,000 - \$9,999   NA	AMBAC PRF12  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  RES  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE

STOCK					PART 2	
□ NOT APPLICABLE						
List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFSINSTRUCTION GUIDE.						
		pendent child's activity, child is listed on the Co	ver Sheet.	**************************************	eporting by	
<sup>1</sup> BUSINESS ENTITY		TRANSDIGM GROUP	NAME TRANSDIGM GROUP INC			
2 STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILI	D	
<sup>3</sup> NUMBER OF SHAR	ES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
4 IF SOLD	X NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY		TYCO INTL LTD NAMI		ME		
STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILI	D	
NUMBER OF SHAR	ES	LESS THAN 100 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD	X NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY		NAME ULTA SALON COSMETICS & FRAGRAN				
BUSINESS ENTITY		ULTA SALON COSME		.ME		
BUSINESS ENTITY  STOCK HELD OR A	CQUIRED BY	ULTA SALON COSME		ME DEPENDENT CHIL	D	
		**************************************	TICS & FRAGRAN	DEPENDENT CHIL	D 1,000 TO 4,999	
STOCK HELD OR A		☐ FILER ☐ LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHIL		
STOCK HELD OR A	ES  NET GAIN  NET LOSS	<ul><li>☒ FILER</li><li>☒ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li></ul>	TICS & FRAGRAN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999	
STOCK HELD OR A NUMBER OF SHAR IF SOLD	ES  NET GAIN NET LOSS	<ul><li>☒ FILER</li><li>☒ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☒ LESS THAN \$5,000</li></ul>	TICS & FRAGRAN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE	
STOCK HELD OR A NUMBER OF SHAR IF SOLD BUSINESS ENTITY	NET GAIN NET LOSS  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ UNITED CONTRL HLE	TICS & FRAGRAN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE	
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	NET GAIN NET LOSS  ACQUIRED BY	☐ FILER  ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  ☐ UNITED CONTRL HLD ☐ FILER ☐ LESS THAN 100	TICS & FRAGRAN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  OGS INC  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE	
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR	NET GAIN NET LOSS  ACQUIRED BY RES NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ UNITED CONTRL HLE ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	TICS & FRAGRAN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  OGS INC  SPOUSE  10,000 OR MORE  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999   ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999	
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD	NET GAIN NET LOSS  ACQUIRED BY RES NET GAIN NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ UNITED CONTRL HLE ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	TICS & FRAGRAN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  OGS INC  SPOUSE  10,000 OR MORE  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999   ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE	
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY	NET GAIN NET LOSS  ACQUIRED BY RES NET GAIN NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ UNITED CONTRL HLE ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ VEECO INSTRUMENT	TICS & FRAGRAN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  OGS INC  SPOUSE  10,000 OR MORE  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE	
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	NET GAIN NET LOSS  ACQUIRED BY RES NET GAIN NET GAIN NET LOSS	<ul> <li>X FILER</li> <li>X LESS THAN 100</li> <li>D 5,000 TO 9,999</li> <li>X LESS THAN \$5,000</li> <li>UNITED CONTRL HLD</li> <li>X FILER</li> <li>D LESS THAN 100</li> <li>D 5,000 TO 9,999</li> <li>X LESS THAN \$5,000</li> <li>VEECO INSTRUMENT</li> <li>X FILER</li> <li>X FILER</li> <li>X LESS THAN 100</li> </ul>	SPOUSE	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	□ 1,000 TO 4,999 □ \$25,000OR MORE □ 1,000 TO 4,999 □ \$25,000OR MORE □ 1,000 TO 4,999 □ 1,000 TO 4,999	

Texas Ethics Commission

STOCK					PART 2	
□ NOT APPLICAB	LE					
List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFSINSTRUCTION GUIDE.						
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by	
<sup>1</sup> BUSINESS ENTITY		VERIFONE SYSTEMS		ME		
2 STOCK HELD OR A	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D	
<sup>3</sup> NUMBER OF SHAF	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>■ 100 TO 499</li><li>■ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999	
<sup>4</sup> IF SOLD	☐ NET GAIN  X NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY	•	VERIZON COMMUNIC		ME		
STOCK HELD OR A	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHAF	RES	LESS THAN 100	X 100 TO 499	☐ 500 TO 999	1,000 TO 4,999	
		☐ 5,000 TO 9,999	☐ 10,000 OR MORE			
IF SOLD	☐ NET GAIN  ▼ NET LOSS	X LESS THAN \$5,000	S5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY	•	VIVUS INC DEL PV\$0.001				
STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	D	
NUMBER OF SHAF	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li> 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD	☐ NET GAIN  X NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY		WAL-MART STORES INC				
STOCK HELD OR ACQUIRED BY		☑ FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHAF	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999	
IF SOLD	X NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	X \$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY	,	WEIGHT WATCHERS		ME		
STOCK HELD OR ACQUIRED BY		X FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHAF	RES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	□ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD	X NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY						

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Texas Ethics Commission

P.O. Box 12070

STOCK					PART 2	
☐ NOT APPLICABL	E					
List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFSINSTRUCTION GUIDE.						
When reporting information about a dependent child's activity, indicate the child about whom you are reporting the number under which the child is listed on the Cover Sheet.				eporting by		
<sup>1</sup> BUSINESS ENTITY		WELLS FARGO & CO		ME		
2 STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD		
<sup>3</sup> NUMBER OF SHAR	ES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	X 500 TO 999	☐ 1,000 TO 4,999	
<sup>4</sup> IF SOLD	☐ NET GAIN  ■ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY		WHIRLPOOL CORP	NA	ME		
STOCK HELD OR A	CQUIRED BY		SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHAR	ES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD	☐ NET GAIN ☑ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY		WYNDHAM WORLDW		ME		
STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHAR	ES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	□ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD	NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY		THE INVESTMENT COMPANY OF AMERICA				
STOCK HELD OR ACQUIRED BY		X FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHAR	ES		☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD	X NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	S5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY		FIA CARD SERVICE N		ME		
STOCK HELD OR ACQUIRED BY		X FILER	SPOUSE	☐ DEPENDENT CHIL	D	
NUMBER OF SHAR	ES	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	□ 500 TO 999	X 1,000 TO 4,999	
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY						

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<b>STOCK</b>						PART	2
☐ NOT APPLICABLE							
List each business entity is and indicate the category category of the amount of INSTRUCTION GUIDE.	of the number f the net gain	er of shares held or acc or loss realized from th	uired. If some or al ne sale. For more in	I of the stock was solo formation, see FORM	d, also indid I PFS	cate the	
When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.							
<sup>1</sup> BUSINESS ENTITY		REACH MEDIA, INC.					
2 STOCK HELD OR ACC	QUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	D		
<sup>3</sup> NUMBER OF SHARES	8	LESS THAN 100	☐ 100 TO 499 ☑ 10,000 OR MORE	☐ 500 TO 999 ≣	☐ 1,000 T	O 4,999	
4 IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	<b>⊠</b> \$25,000	)OR MO	RE
	CORV A	ND ATTACH ADDITE	ONAL DAGES AS	MECESSARV			

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exas Lunes Commission	F.O. BOX 12070	Austin, Texas For TT-2010	(312)403-3000	1-000-323-0300

BONDS, NOTES & OTHER COMMERCIAL PAPER						
☐ NOT APPLICABLE						
List all bonds, notes and other commercial paper held or acquired by you, your spouse, or a dependent child during the calendar year. If sold, indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFSINSTRUCTION GUIDE						
When reporting information about providing the number under which			bout whom you are reporting by			
<sup>1</sup> DESCRIPTION OF INSTRUMENT	CALIFORNIA ST VAR PURF					
<sup>2</sup> HELD OR ACQUIRED BY		And the second s	The state of the s			
	⊠ FILER	SPOUSE	DEPENDENT CHILD			
<sup>3</sup> IF SOLD			, , , , , , , , , , , , , , , , , , , ,			
☐ NET GAIN ☐ NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR	MORE		
DESCRIPTION OF INSTRUMENT	DALLAS TEX INDPT SCH					
HELD OR ACQUIRED BY						
	🛛 FILER	SPOUSE	DEPENDENT CHILD			
IF SOLD						
☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR	MORE		
DESCRIPTION OF INSTRUMENT	GILROY CALIF UNI SCH					
HELD OR ACQUIRED BY						
	☑ FILER	SPOUSE	DEPENDENT CHILD			
IF SOLD						
☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR	MORE		
CODY AND ATTACH ADDITIONAL BAGES AS NECESSARY						

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BONDS, NOTES & C	OTHER COMMER	CIAL PAPE	R PART 3	
	ne category of the amount of		pouse, or a dependent child during the realized from the sale. For more	
When reporting information about providing the number under which			about whom you are reporting by	
<sup>1</sup> DESCRIPTION OF INSTRUMENT	GOLDEN ȘT TOB SCRTZN	CRP		
<sup>2</sup> HELD OR ACQUIRED BY				
	X FILER	SPOUSE	DEPENDENT CHILD	
<sup>3</sup> IF SOLD		· · · · · · · · · · · · · · · · · · ·		
☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE	
DESCRIPTION OF INSTRUMENT	HANFORD CALIF JT UN HS	SD		
HELD OR ACQUIRED BY	***************************************		Maria - 144 - 14 - 1	
	⊠ FILER	SPOUSE	DEPENDENT CHILD	
IF SOLD	The state of the s			
☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE	
DESCRIPTION OF INSTRUMENT	LOS ANGELES CALIF DEP	Т		
HELD OR ACQUIRED BY	⊠ FILER	SPOUSE	DEPENDENT CHILD	
IF SOLD				
☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY				

Texas Ethics Commission

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	Texas Ethics Commission	P.O. Box 12070	Austin, Texas 78711-2070	(512)463-5800	1-800-325-8506

MUTUAL FUNDS				PART 4
☐ NOT APPLICABLE				
List each mutual fund and the number acquired during the calendar year a some or all of the shares of a mutual from the sale. For more information When reporting information about a providing the number under which the	and indicate the category o al fund were sold, also indi n, see FORM PFSINSTR n dependent child's activity	of the number of sha icate the category of CUCTION GUIDE , indicate the child a	res of mutual funds h the amount of the ne	eld or acquired. If et gain or loss realized
<sup>1</sup> MUTUAL FUND	PIMCO 25+ YEAR ZERO	NAI O COUP	ME	
<sup>2</sup> SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	⊠ FILER	SPOUSE	DEPENDENT CHIL	.D
3 NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
4 IF SOLD	☐ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	PROSHARES ULTRASH	NAI IORT	ME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHARES OF MUTUAL FUND	☐ LESS THAN 100 ☐ 5,000 TO 9,999	X 100 TO 499  ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	SPDR GOLD TRUST	NAI	ME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
COPY	AND ATTACH ADDITION	ONAL PAGES AS	NECESSARY	

## PART 5 **INCOME FROM INTEREST, DIVIDENDS, ROYALTIES & RENTS** □ NOT APPLICABLE List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME AND ADDRESS <sup>1</sup> SOURCE OF INCOME ALTRIA GROUP INC <sup>2</sup> RECEIVED BY X FILER SPOUSE DEPENDENT CHILD \_\_\_\_\_ <sup>3</sup> AMOUNT **X** \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000--OR MORE NAME AND ADDRESS SOURCE OF INCOME APPLE INC RECEIVED BY X FILER SPOUSE DEPENDENT CHILD \_\_\_\_\_ **AMOUNT \$500 - \$4,999** X \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000--OR MORE NAME AND ADDRESS SOURCE OF INCOME AT&T INC **RECEIVED BY** X FILER SPOUSE DEPENDENT CHILD \_\_\_\_\_ **AMOUNT** X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000--OR MORE COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

## PART 5 **INCOME FROM INTEREST, DIVIDENDS, ROYALTIES & RENTS** ☐ NOT APPLICABLE List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME AND ADDRESS <sup>1</sup> SOURCE OF INCOME BANK OF AMERICA <sup>2</sup> RECEIVED BY X FILER SPOUSE □ DEPENDENT CHILD \_\_\_\_\_ <sup>3</sup> AMOUNT X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000--OR MORE NAME AND ADDRESS SOURCE OF INCOME CALIFORNIA ST VAR PURP RECEIVED BY X FILER SPOUSE DEPENDENT CHILD \_\_\_\_\_ **AMOUNT** X \$500 - \$4,999 **55,000 - \$9,999** \$10,000 - \$24,999 \$25,000--OR MORE NAME AND ADDRESS SOURCE OF INCOME CATERPILLAR INC **RECEIVED BY** X FILER ☐ SPOUSE DEPENDENT CHILD \_\_\_\_\_ **AMOUNT** X \$500 - \$4,999 **55,000 - \$9,999** \$10,000 - \$24,999 \$25,000--OR MORE COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

INCOME FROM IN'  ☐ NOT APPLICABLE	TEREST, DIVIDE	NDS, ROYAL	TIES & RENTS PART 5			
I ·	nd rents during the calendar	year and indicate the	xcess of \$500 that was derived from category of the amount of the income. For			
When reporting information about providing the number under wh		-	about whom you are reporting by			
<sup>1</sup> SOURCE OF INCOME	DALLAS TEX INDPT SCI	NAME AND ADDRESS  DALLAS TEX INDPT SCH DIST SCH PSF GTD OID				
<sup>2</sup> RECEIVED BY	X FILER	SPOUSE	DEPENDENT CHILD			
<sup>3</sup> AMOUNT	☒ \$500 - \$4,999	S5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE			
SOURCE OF INCOME	ENERGY XXI	NAME AND AD	DORESS			
RECEIVED BY	X FILER	SPOUSE	DEPENDENT CHILD			
AMOUNT	☒ \$500 - \$4,999	\$5,000 - \$9,999	☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE			
SOURCE OF INCOME	EXXON MOBIL CORP CO	NAME AND AD	DRESS			
RECEIVED BY	∏ FILER	SPOUSE	DEPENDENT CHILD			
AMOUNT	☒ \$500 - \$4,999	<b>55,000 - \$9,999</b>	☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE			
С	OPY AND ATTACH ADDI	ITIONAL PAGES AS	NECESSARY			

INCOME FROM IN I  □ NOT APPLICABLE	EREST, DIVIDE	NDS, ROYAL	TIES & RENTS PART 5
	rents during the calendar	year and indicate the	xcess of \$500 that was derived from category of the amount of the income. For
When reporting information abore providing the number under which	-	-	about whom you are reporting by
<sup>1</sup> SOURCE OF INCOME	GILROY CALIF UNI SCH	NAME AND AD	
<sup>2</sup> RECEIVED BY	X FILER	SPOUSE	DEPENDENT CHILD
<sup>3</sup> AMOUNT	፟፟፟⊠ \$500 - \$4,999	<b>5</b> 5,000 - \$9,999	☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE
SOURCE OF INCOME	GOLDEN ST TOB SCRTZ	NAME AND AD	
RECEIVED BY	⊠ FILER	SPOUSE	DEPENDENT CHILD
AMOUNT	⊠ \$500 - \$4,999	\$5,000 - \$9,999	☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE
SOURCE OF INCOME	HANFORD CALIF JT UN	NAME AND AD	DRESS
RECEIVED BY	X FILER	SPOUSE	DEPENDENT CHILD
AMOUNT	፟፟፟፟፟ \$500 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
C.C.	DPY AND ATTACH ADDI	TIONAL PAGES AS	NECESSARY

INCOME FROM INT  ☐ NOT APPLICABLE	FEREST, DIVIDE	NDS, ROYAL	TIES & RENTS PART 5
•	d rents during the calendar	year and indicate the	ccess of \$500 that was derived from category of the amount of the income. For
When reporting information abo providing the number under whi	-	-	about whom you are reporting by
<sup>1</sup> SOURCE OF INCOME	LOS ANGELES CALIF DE	NAME AND AD	
<sup>2</sup> RECEIVED BY	☑ FILER	SPOUSE	DEPENDENT CHILD
<sup>3</sup> AMOUNT	☒ \$500 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
SOURCE OF INCOME	MENLO PK CALIF CITY S	NAME AND AD	
RECEIVED BY	X FILER	SPOUSE	DEPENDENT CHILD
AMOUNT	☒ \$500 - \$4,999	\$5,000 - \$9,999	☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE
SOURCE OF INCOME	MIAMI-DADE CNTY FLA	NAME AND AD	
RECEIVED BY	X FILER	SPOUSE	DEPENDENT CHILD
AMOUNT	☒ \$500 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
	ODV AND ATTACH ADDI	TIONAL DACES AS	NECECOADY

INCOME FROM INT  ☐ NOT APPLICABLE	TEREST, DIVIDE	NDS, ROYAL	TIES & RENTS PART 5
	d rents during the calendar	year and indicate the	xcess of \$500 that was derived from category of the amount of the income. For
When reporting information abo providing the number under whi			about whom you are reporting by
<sup>1</sup> SOURCE OF INCOME	TEXAS ST TPK AT CENT	NAME AND AD	DDRESS
<sup>2</sup> RECEIVED BY	☑ FILER	SPOUSE	DEPENDENT CHILD
<sup>3</sup> AMOUNT	<b>X</b> \$500 - \$4,999	<b>55,000 - \$9,999</b>	\$10,000 - \$24,999 \$25,000OR MORE
SOURCE OF INCOME	THE INVESTMENT COM	NAME AND AD	
RECEIVED BY	☑ FILER	SPOUSE	DEPENDENT CHILD
AMOUNT	☒ \$500 - \$4,999	\$5,000 - \$9,999	☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE
SOURCE OF INCOME	WINDSTREAM CORP	NAME AND AD	DRESS
RECEIVED BY	X FILER	SPOUSE	DEPENDENT CHILD
AMOUNT	☒ \$500 - \$4,999	<b>55,000 - \$9,999</b>	\$10,000 - \$24,999 \$25,000OR MORE
CC	OPY AND ATTACH ADDI	TIONAL PAGES AS	NECESSARY

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INCOME FROM INT	EREST, DIVIDEN	IDS, ROYAL	TIES & RENTS PART 5
☐ NOT APPLICABLE			4.44.400
	rents during the calendar y		cess of \$500 that was derived from category of the amount of the income. For
When reporting information about providing the number under which			bout whom you are reporting by
<sup>1</sup> SOURCE OF INCOME	WAL-MART STORES INC	NAME AND ADI	DRESS
<sup>2</sup> RECEIVED BY	X FILER	SPOUSE	DEPENDENT CHILD
<sup>3</sup> AMOUNT	፟፟፟፟፟፟፟፟፟ \$500 - \$4,999	\$5,000 - \$9,999	☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE
SOURCE OF INCOME		NAME AND AD	DRESS
	WHOLE FOODS MKT INC	COM	·
RECEIVED BY	⊠ FILER	SPOUSE	DEPENDENT CHILD
AMOUNT	☒ \$500 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
SOURCE OF INCOME		NAME AND AD	DRESS
	DESMOND BRYANT		
RECEIVED BY	☑ FILER	SPOUSE	DEPENDENT CHILD
AMOUNT	☐ \$500 - <b>\$</b> 4,999	\$5,000 - \$9,999	☐ \$10,000 - \$24,999 🛛 \$25,000OR MORE
CC	OPY AND ATTACH ADDI	TIONAL PAGES AS	NECESSARY

P.O. Box 12070

PERSONAL NOTES A	AND LEASE AG	REEMENTS	PART 6
☐ NOT APPLICABLE			
Identify each guarantor of a loan and a dependent child had a total finance agreement at any time during the cation, see FORM PFSINSTRUCTION. When reporting information about a providing the number under which the second sec	cial liability of more than \$ alendar year and indicate DN GUIDE i dependent child's activity	1,000 in the form of the category of the a r, indicate the child a	a personal note or notes or lease amount of the liability. For more informa-
<sup>1</sup> PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	BANK OF AMERICA		
<sup>2</sup> LIABILITY OF	X FILER	SPOUSE	DEPENDENT CHILD
<sup>3</sup> GUARANTOR			
<sup>4</sup> AMOUNT	\$1,000 - \$4,999	\$5,000 - \$9,999	☐ \$10,000 - \$24,999 🔀 \$25,000OR MORE
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	U.S. BANK (VEHICLE)		
LIABILITY OF	☑ FILER	SPOUSE	DEPENDENT CHILD
GUARANTOR			
AMOUNT	<b>\$1,000 - \$4,999</b>	\$5,000 - \$9,999	\$10,000 - \$24,999 X \$25,000OR MORE
COP	Y AND ATTACH ADDIT	IONAL PAGES AS	NECESSARY

Texas Ethics Commission

INTERESTS IN REAL	_ PROPERTY			PART <b>7A</b>		
☐ NOT APPLICABLE						
Describe all beneficial interests in real property held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of 'beneficial interest' and other specific directions for completing this section, see FORM PFSINSTRUCTION GUIDE.						
When reporting information about providing the number under which			d about whom you are	reporting by		
<sup>1</sup> HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CH	ILD		
2 STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS		STREET ADDRESS, INCLUD	ING CITY, COUNTY AND STATE			
3 DESCRIPTION	NUMB	ER OF LOTS OR ACRES AND	NAME OF COUNTY WHERE LOCA	ATED		
■ LOTS ■ ACRES	1 lot DALLAS COUNTY					
4 NAMES OF PERSONS RETAINING AN INTEREST  NOT APPLICABLE (SEVERED MINERAL INTEREST)						
5 IF SOLD  NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	☐ \$25,000OR MORE		
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CH	ILD		
STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	1537 PLEASANT RUN DESOTO, TX 75115 DALLAS	STREET ADDRESS, INCLUD	ING CITY, COUNTY AND STATE			
DESCRIPTION  LOTS  ACRES	лимв 5 acres DALLAS COUNTY	ER OF LOTS OR ACRES AND	NAME OF COUNTY WHERE LOCA	ATED		
NAMES OF PERSONS RETAINING AN INTEREST  NOT APPLICABLE (SEVERED MINERAL INTEREST)						
IF SOLD  NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	☐ \$25,000OR MORE		
COP	Y AND ATTACH ADD	ITIONAL PAGES A	AS NECESSARY	***************************************		

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INTERESTS IN REAL	PROPERTY			PART <b>7A</b>			
☐ NOT APPLICABLE							
calendar year. If the interest was s	Describe all beneficial interests in real property held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of 'beneficial interest' and other specific directions for completing this section, see FORM PFSINSTRUCTION GUIDE.						
When reporting information about providing the number under which			d about whom you are r	reporting by			
<sup>1</sup> HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHI	LD			
2 STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	7318 OAKMORE DALLAS, TX 75249-1 DALLAS		ING CITY, COUNTY AND STATE				
3 DESCRIPTION  ☐ LOTS ☐ ACRES	1 lot DALLAS COUNTY	BER OF LOTS OR ACRES AND	NAME OF COUNTY WHERE LOCA	TED			
4 NAMES OF PERSONS RETAINING AN INTEREST  NOT APPLICABLE (SEVERED MINERAL INTEREST)							
5 IF SOLD  NET GAIN NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	☐ \$25,000OR MORE			
HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHI	LD			
STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	320 SOUTH R. L. TH FORMERLY 511 EAU DALLAS, TX 75203- DALLAS	ORNTON FREEWAY	ING CITY, COUNTY AND STATE				
DESCRIPTION  \[ \text{Lots} \]  \[ \text{ACRES}	1 lot DALLAS COUNTY	IBER OF LOTS OR ACRES AND	NAME OF COUNTY WHERE LOCA	ATED			
NAMES OF PERSONS RETAINING AN INTEREST  NOT APPLICABLE (SEVERED MINERAL INTEREST)							
IF SOLD  NET GAIN NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	☐ \$25,000OR MORE			
	N AND ATTACH AD	DITIONAL DACES	AC NECECCARY				

1-800-325-8506

Austin, Texas 78711-2070

INTERESTS IN REAL	. PROPERTY			PART /A
☐ NOT APPLICABLE				
Describe all beneficial interests in a calendar year. If the interest was a For an explanation of 'beneficial interest INSTRUCTION GUIDE.	sold, also indicate the c	ategory of the amour	nt of the net gain or loss	realized from the sale.
When reporting information about a providing the number under which			d about whom you are	reporting by
<sup>1</sup> HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHI	LD
2 STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	1212 REGENTS PAR DESOTO, TX 75115- DALLAS	K	ING CITY, COUNTY AND STATE	
3 DESCRIPTION  ☑ LOTS ☐ ACRES	NUM 1 lot DALLAS COUNTY	IBER OF LOTS OR ACRES AND	NAME OF COUNTY WHERE LOCA	ATED
4 NAMES OF PERSONS RETAINING AN INTEREST  NOT APPLICABLE (SEVERED MINERAL INTEREST)				
5 IF SOLD  NET GAIN NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	☐ \$25,000OR MORE

1-800-325-8506

#### PART 7B INTERESTS IN BUSINESS ENTITIES ■ NOT APPLICABLE Describe all beneficial interests in business entities held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of 'beneficial interest' and other specific directions for completing this section, see FORM PFS--**INSTRUCTION GUIDE** When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. <sup>1</sup> HELD OR ACQUIRED BY X FILER ☐ SPOUSE ☐ DEPENDENT CHILD NAME AND ADDRESS <sup>2</sup> DESCRIPTION (check if Filer's Home Address) REACH MEDIA, INC 13760 NOEL RD DALLAS, TX 75240-7336 3 IF SOLD X NET GAIN LESS THAN \$5,000 | \$5,000 - \$9,999 | \$10,000 - \$24,999 | \$25,000--OR MORE ☐ NET LOSS HELD OR ACQUIRED BY ☐ SPOUSE DEPENDENT CHILD X FILER NAME AND ADDRESS DESCRIPTION (check if Filer's Home Address) ROYCE WEST & ASSOCIATES, P.C. 320 SOUTH R. L. THORNTON FREEWAY SUITE 300 DALLAS, TX 75203-1804 IF SOLD ■ NET GAIN ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000--OR MORE ☐ NET LOSS HELD OR ACQUIRED BY X FILER ☐ SPOUSE ☐ DEPENDENT CHILD NAME AND ADDRESS DESCRIPTION (check if Filer's Home Address) SKYVIEW DEVELOPMENT LLC 320 SOUTH R. L. THORNTON FREEWAY DALLAS, TX 75203-1804 IF SOLD ☐ NET GAIN ☐ NET LOSS COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

Fexas Ethics Commission P.O.	Box 12070 Austin, Texa	as 78711-2070	(512)463-5800	1-800-325-850
INTERESTS IN BUS	SINESS ENTITIE	S		PART 7B
☐ NOT APPLICABLE				
Describe all beneficial interests calendar year. If the interest wa For an explanation of 'beneficial INSTRUCTION GUIDE  When reporting information abo	s sold, also indicate the ca interest' and other specific	ategory of the amou	nt of the net gain or loss realized pleting this section, see FORM F	d from the sale. PFS
providing the number under whi				
1 HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHILD	_
<sup>2</sup> DESCRIPTION			ND ADDRESS ler's Home Address)	
	WEST & ASSOCIATES,	LLP	•	
	320 SOUTH R. L. THORI SUITE 300 DALLAS, TX 75203-180			
<sup>3</sup> IF SOLD ☐ NET GAIN ☐ NET LOSS	☐ LESS THAN \$5,0	00 🔲 \$5,000 - \$9,99	99 🔲 \$10,000 - \$24,999 🔲 \$25	5,000OR MORE

Texas Ethics Commission	P.O. Box 12070	Austin, Texas 78711-2070	(512)463-5800	1-800-325-8506
rexas Etnics Commission	P.O. BOX 12070	Austin, Texas 707 11-2070	(312)403-3000	1-000-323-0300

ASSETS OF B	USINESS ASSOCIAT	IONS		PART 11A
☐ NOT APPLICABLE	:			
corporation, professiona dent child held, acquired of the assets. For more When reporting informat	ach coporation, firm, partnership, lind association, joint venture, or other did not sold 50 percent or more of the information, see FORM PFSINStion about a dependent child's activater which the child is listed on the	r business association outstanding owners TRUCTION GUIDE. vity, indicate the child	on in which you, your spou hip and indicate the catego	se, or a depen- ory of the amount
<sup>1</sup> BUSINESS ASSOCIATION	ROYCE WEST & ASSOCIATES, F	P.C.	(Check if Filer's Home Address)	
	320 SOUTH R. L. THORNTON FR SUITE 300 DALLAS, TX 75203-1804	EEWAY		
<sup>2</sup> BUSINESS TYPE	PROFESSIONAL CORPORATION			
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY	X FILER	SPOUSE	DEPENDENT CHILD	
4 ASSETS	DESCRIPTION NO ASSETS		CATE	GORY
			│	\$5,000 - \$9,999 \$25,000OR MORE
	l			

Texas Ethics Commission	P.O. Box 12070	Austin, Texas	78711-2070	(512)463-5800	1-80	0-325-8506
ASSETS OF B	USINESS AS	SOCIATI	ONS		PART	11A
☐ NOT APPLICABLE						
corporation, professiona dent child held, acquired of the assets. For more	I association, joint ve I, or sold 50 percent of information, see FOF ion about a depender	nture, or other or more of the c RM PFSINSTI nt child's activit	business association outstanding ownership RUCTION GUIDE. y, indicate the child a	ed liability partnership, pro in which you, your spouse o and indicate the category bout whom you are report	e, or a depe y of the am	
1 BUSINESS ASSOCIATION	SKYVIEW DEVELOR		ND ADDRESS (	(Check if Filer's Home Address)		
	320 SOUTH R. L. TH DALLAS, TX 75203-		EWAY			
<sup>2</sup> BUSINESS TYPE	REAL ESTATE DEV	ELOPMENT: L	MITED LIABILITY CO	MPANY		
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY	X FII	LER	SPOUSE	DEPENDENT CHILD _		
<sup>4</sup> ASSETS	BUILDING	DESCRIPTION		CATEGO	DRY	
					] \$5,000 - \$9 {] \$25,000C	
	l					

Texas Ethics Commission	P.O. Box 12070	Austin, Texas	78711-2070	(512)463-5800		0-325-8506
ASSETS OF B	USINESS AS	SOCIATION	ONS		PART	11A
□ NOT APPLICABLE						
corporation, professiona dent child held, acquired of the assets. For more	I association, joint ve , or sold 50 percent of information, see FOF ion about a depender	nture, or other to or more of the o RM PFSINSTF nt child's activity	ousiness association utstanding ownershi RUCTION GUIDE. y, indicate the child a	ted liability partnership, pro in which you, your spous p and indicate the categor about whom you are repor	e, or a depe ry of the amo	
<sup>1</sup> BUSINESS ASSOCIATION	WEST & ASSOCIAT		ND ADDRESS	(Check if Filer's Home Address)		
	320 SOUTH R. L. TH SUITE 300		EWAY			
2 7 1 2 1 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7	DALLAS, TX 75203- LIMITED LIABILITY			10 Y 1000 1000 1000 1000 1000 1000 1000		
<sup>2</sup> BUSINESS TYPE	LIMITED LIABILITY	PARTNERSHIP				
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY	X FII	LER	SPOUSE	DEPENDENT CHILD		
<sup>4</sup> ASSETS	PROPERTY/EQUIPM	DESCRIPTION		CATEG	ORY	
	FNOI ENTITE GOTT	VILIVI		X LESS THAN \$5,000 [	<b>]</b> \$5,000 - \$9	,999
				\$10,000 - \$24,999	\$25,0000	R MORE
				.1		
	OFFICE FURNITURI	E		LESS THAN \$5,000 [	<b>]</b> \$5,000 - \$9	,999
				1 <u> </u>	 ☐ \$25,0000	
				¦		
	COMPUTER EQUIP	MENT		LESS THAN \$5,000 [	<b>7</b> \$5,000 - \$9	000
				1 _	\$25,0000	
	l					

Texas Ethics Commission	P.O. Box 12070	Austin, Texas 78711-2070	(512)463-5800	1-800-325-8506
LIABILITIES O	F BUSINES	SASSOCIATIONS		PART 11B
☐ NOT APPLICABLE				
Describe all liabilities of	each coporation, firm	n, partnership, limited partnership, limite	ed liability partnership, pr	ofessional

corporation, professional association, joint venture, or other business association in which you, your spouse, or a dependent child held, acquired, or sold 50 percent or more of the outstanding ownership and indicate the category of the amount of the liabilities. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME AND ADDRESS (Check if Filer's Home Address) <sup>1</sup> BUSINESS WEST & ASSOCIATES, LLP **ASSOCIATION** 320 SOUTH R. L. THORNTON FREEWAY SUITE 300 DALLAS, TX 75203-1804 LIMITED LIABILITY PARTNERSHIP <sup>2</sup> BUSINESS TYPE <sup>3</sup> HELD, ACQUIRED, X FILER ☐ SPOUSE ☐ DEPENDENT CHILD \_\_\_\_\_ OR SOLD BY DESCRIPTION CATEGORY <sup>4</sup> LIABILITIES CLIENT TRUST ACCOUNT ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 \$25,000--OR MORE

Texas Ethics Commission	P.O. Box 12070	Austin, Texas 78711-2070	(512)463-5800	1-800-325-8506
LIABILITIES O		ASSOCIATIONS		PART 11B
corporation, professional dent child held, acquired of the liabilities. For mor When reporting informati	Il association, joint ver I, or sold 50 percent or re information, see FC ion about a dependen	nture, or other business associor or more of the outstanding own ORM PFSINSTRUCTION GU	ip, limited liability partnership, p iation in which you, your spouse ership and indicate the categon IIDE. hild about whom you are report	e, or a depen- y of the amount
<sup>1</sup> BUSINESS ASSOCIATION	SKYVIEW DEVELOP 320 SOUTH R. L. TH DALLAS, TX 75203-	IORNTON FREEWAY	☐ (Check if Filer's Home Address)	
<sup>2</sup> BUSINESS TYPE	LIMITED LIABILITY C	COMPANY		
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY	⊠ FIL	LER SPOUSE	DEPENDENT CHILD	
<sup>4</sup> LIABILITIES	DEBT	DESCRIPTION	-	DRY ] \$5,000 - \$9,999 ] \$25,000OR MORE
	TENANT DEPOSITS		   	] \$5,000 - \$9,999 ] \$25,000OR MORE

BOARDS AND EXE	CUTIVE POSITIO	NS		PART 12
☐ NOT APPLICABLE				
List all boards of directors of wh your spouse, or a dependent ch ships, professional corporations stating the name of the organiza	ild hold in corporations, firms, , professional associations, jo	partnerships, limite int ventures, other b	d partnerships, limited liability pusiness associations, or prop	partner- rietorships,
When reporting information abo providing the number under whi			about whom you are reporting	by
<sup>1</sup> ORGANIZATION	BLACK AMERICA WEB RELI	EF FUND		
<sup>2</sup> POSTITION HELD	SECRETARY			
<sup>3</sup> POSITION HELD BY	☑ FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATION	CIRCLE TEN COUNCIL OF E	BOY SCOUTS OF AM	MERICA	
POSTITION HELD	VICE PRESIDENT SCOUT R	EACH		
POSITION HELD BY	☑ FILER	SPOUSE	DEPENDENT CHILD	-
ORGANIZATION	EDUCATION IS FREEDOM	A A A A A A A A A A A A A A A A A A A		
POSTITION HELD	BOARD MEMBER			
POSITION HELD BY	☑ FILER	SPOUSE	DEPENDENT CHILD	_
ORGANIZATION	REACH MEDIA, INC			And an annual and an annual and an annual an annua
POSTITION HELD	SECRETARY			
POSITION HELD BY	☑ FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATION	ROYCE WEST & ASSOCIAT	ES, P. C.		
POSTITION HELD	PRESIDENT			
POSITION HELD BY	☑ FILER	SPOUSE	DEPENDENT CHILD	-
	ODV AND ATTACH ADDITI	ONAL DACES AS	NECESSARY	

P.O. Box 12070

BOARDS AND EXE	CUTIVE POSITION	ONS	PART 12
☐ NOT APPLICABLE			
your spouse, or a dependent ch ships, professional corporations	ild hold in corporations, firm , professional associations,	ns, partnerships, lim joint ventures, othe	a member and all executive positions you, ited partnerships, limited liability partner-er business associations, or proprietorships, on, see FORM PFSINSTRUCTION GUIDE.
When reporting information abo providing the number under whi	ut a dependent child's activ ch the child is listed on the	ity, indicate the child Cover Sheet.	d about whom you are reporting by
<sup>1</sup> ORGANIZATION	SKYVIEW DEVELOPMENT	T LLC	
<sup>2</sup> POSTITION HELD	PRESIDENT	on and a state of the state of	
<sup>3</sup> POSITION HELD BY	☑ FILER	SPOUSE	DEPENDENT CHILD
ORGANIZATION	TOM JOYNER FOUNDATI	ON, INC.	
POSTITION HELD	SECRETARY		
POSITION HELD BY	⊠ FILER	SPOUSE	DEPENDENT CHILD
ORGANIZATION	WEST & ASSOCIATES, LL	.P	
POSTITION HELD	MANAGING PARTNER		
POSITION HELD BY	☐ FILER	SPOUSE	DEPENDENT CHILD
	OPY AND ATTACH ADD	ITIONAL PAGES	AS NECESSARY

exas Ethics Commission	P.O. Box 12070	Austin, Texas 78711-2070	(512)463-5800	1-800-325-85
<b>EXPENSES ACC</b>	EPTED U	NDER HONORARIUM E	EXCEPTION	PART 13
☐ NOT APPLICABLE				
of the Penal Code, in conne audience or participating in transportation, meals, or loo on a campaign finance repo	ection with a con a seminar, that o dging. You are r ort, or expenditur	recessary transportation, meals, or lod ference or similar event in which you rewere more than perfunctory. Also proviot required to include items you have es required to be reported by a lobbying see FORM PFSINSTRUCTION GUID	endered services, such as a vide the amount of the expe already reported as political st under the lobby law (chap	nddressing an nditures on contributions
PROVIDER		NAME AND ADDR	RESS	
PROVIDER	TURQUOIS	SE COUNCIL OF AMERICANS AND EU	RASIANS	
	GALLERIA	OAK BLVD., SUITE 17500 TOWER I , TX 77056		
<sup>2</sup> AMOUNT	\$8	,000.00		

exas E	Ethics	Commission	P	Ο.	. 1	E
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Austin, Texas 78711-2070

(512)463-5800

1-800-325-8506

### 3ox 12070 **PART 15** FEES RECEIVED FOR SERVICES RENDERED TO A LOBBYIST OR LOBBYIST'S EMPLOYER ☐ NOT APPLICABLE Report any fee you received for providing services to or on behalf of a person required to be registered as a lobbyist under chapter 305 of the Government Code, or for providing services to or on behalf of a person you actually know directly compensates or reimburses a person required to be registered as a lobbyist. Report the name of each person or entity for which the services were provided, and indicate the category of the amount of each fee. For more information, see FORM PFS--INSTRUCTION GUIDE. <sup>1</sup> PERSON OR ENTITY ANDREWS KURTH FOR WHOM SERVICES WERE PROVIDED <sup>2</sup> FEE CATEGORY ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000--OR MORE PERSON OR ENTITY BANK OF AMERICAN CORPORATION FOR WHOM SERVICES WERE PROVIDED **FEE CATEGORY** ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000--OR MORE PERSON OR ENTITY BARCLAYS CAPITAL INC FOR WHOM SERVICES WERE PROVIDED FEE CATEGORY ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000--OR MORE PERSON OR ENTITY DALLAS INDEPENDENT SCHOOL DISTRICT FOR WHOM SERVICES WERE PROVIDED **FEE CATEGORY** ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000--OR MORE PERSON OR ENTITY FARMERS INSURANCE GROUP FOR WHOM SERVICES WERE PROVIDED **FEE CATEGORY** ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000--OR MORE PERSON OR ENTITY FIRST SOUTHWEST COMPANY FOR WHOM SERVICES WERE PROVIDED **FEE CATEGORY** ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000--OR MORE

# FEES RECEIVED FOR SERVICES RENDERED

**PART 15** 

TO A LOBBYIST OR LOBBYIST'S EMPLOYER  NOT APPLICABLE		
Report any fee you received for providing services to or on behalf of a person required to be registered as a lobbyist under chapter 305 of the Government Code, or for providing services to or on behalf of a person you actually know directly compensates or reimburses a person required to be registered as a lobbyist. Report the name of each person or entity for which the services were provided, and indicate the category of the amount of each fee. For more information, see FORM PFSINSTRUCTION GUIDE.		
<sup>1</sup> PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	GOLDMAN, SACHS & CO.	
<sup>2</sup> FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000OR MORE	
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	LIBERTY MUTUAL INSURANCE COMPANY	
FEE CATEGORY	X LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE	
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	LINEBARGER GOGGAN BLAIR & SAMPSON	
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000OR MORE	
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	PROGRESSIVE COUNTY MUTUAL INSURANCE CO.	
FEE CATEGORY	X LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE	
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	STATE FARM LLOYDS	
FEE CATEGORY	X LESS THAN \$5,000	
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	TEXAS CLASSROOM TEACHERS ASSOCIATION	
FEE CATEGORY	X LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY		

# FEES RECEIVED FOR SERVICES RENDERED

P.O. Box 12070

**PART 15** 

TO A LOBBYIST OR LO	DBBYIST'S EMPLOYER	
Report any fee you received for providing services to or on behalf of a person required to be registered as a lobbyist under chapter 305 of the Government Code, or for providing services to or on behalf of a person you actually know directly compensates or reimburses a person required to be registered as a lobbyist. Report the name of each person or entity for which the services were provided, and indicate the category of the amount of each fee. For more information, see FORM PFSINSTRUCTION GUIDE.		
<sup>1</sup> PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	TEXAS FARM BUREAU	
<sup>2</sup> FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000OR MORE	
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	THE TRAVELERS INDEMNITY COMPANY	
FEE CATEGORY	X LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE	
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	WELLS FARGO SECURITIES LLC	
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000OR MORE	
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	JP MORGAN CHASE & CO	
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999     \$10,000 - \$24,999   ☐ \$25,000OR MORE	
CORYA	ND ATTACH ADDITIONAL PAGES AS NECESSARY	

Texas Ethics Commission P.O. Box 12070 Austin, Texas 78711-2070 (512)463-5800 1-800-325-8506

# PERSONAL FINANCIAL STATEMENT PARTS MARKED 'NOT APPLICABLE' BY FILER

Rather than printing a page for each Part the filer checked 'Not Applicable,' this page summarizes whether the 'Not Applicable' checkbox was checked for each Part. If the checkbox is checked next to a Part below, then no pages for that Part should be present in the report. If a checkbox is not checked, then pages for that Part should be present in the report.

□ N/A Part 1A - Sources of Occupational Income
N/A Part 1B - Retainers
□ N/A Part 2 - Stock
□ N/A Part 3 - Bonds, Notes & Other Commercial Paper
□ N/A Part 4 - Mutual Funds
□ N/A Part 5 - Income from Interest, Dividends, Royalties & Rents
☐ N/A Part 6 - Personal Notes and Lease Agreements
□ N/A Part 7A - Interests in Real Property
□ N/A Part 7B - Interests in Business Entities
☑ N/A Part 8 - Gifts
☑ N/A Part 9 - Trust Income
☑ N/A Part 10A - Blind Trusts
☑ N/A Part 10B - Trustee Statement
□ N/A Part 11A - Assets of Business Associations
□ N/A Part 11B - Liabilities of Business Associations
□ N/A Part 12 - Boards and Executive Positions
□ N/A Part 13 - Expenses Accepted Under Honorarium Exception
N/A Part 14 - Interest in Business in Common with Lobbyist
☐ N/A Part 15 - Fees Received for Services Rendered to a Lobbyist or Lobbyist's Employer
N/A Part 16 - Representation by Legislator Before State Agency
☑ N/A Part 17 - Benefits Derived from Functions Honoring Public Servant
N/A Part 18 - Legislative Continuances

#### PERSONAL FINANCIAL STATEMENT AFFIDAVIT

P.O. Box 12070

The law requires the personal financial statement to be verfied. The verfication page must have the signature of the individual required to file the personal financial statement, as well as the signature and stamp or seal of office of a notary public or other person authorized by law to administer oaths and affirmations. Without proper verification, the statement is not considered filed.

> I swear, or affirm, under penalty of perjury, that this financial statement covers calendar year ending December 31, 2012, and is true and correct and includes all information required to be reported by me under chapter 572 of the Government Code.



AFFIX NOTARY STAMP / SEAL ABOVE

Sworn to and subscribed before me by to certify which, witness my hand and seal of office.